2026 Oxford Climate Policy Monitor Survey

Welcome to the 2026 Oxford Climate Policy Monitor Survey. If you encounter any problems or require any support, please contact: netzerohub@bsg.ox.ac.uk.

Thank you for your contribution.

Instructions for answering the survey:

1. **Do not delete or modify any questions or sections** as doing so will mean the document cannot be processed and analysed. In such instances, we will revert to the firms and ask that formatting changes be undone.
2. **Please do not change any question numbering. Question numbers may appear non-continuous (e.g. skipping over some questions).** This is by design as we have cut questions from last years' surveys.
3. **Do not modify any wording or change the structure or formatting of the document** as doing so will mean the document cannot be processed and analysed. In such instances, we will revert to the firms and ask that formatting changes be undone.
4. During the survey preparation stage, you can use track changes and comments to collaborate amongst teams. However, **all track changes must be accepted, and all comments must be deleted from final submissions.**
5. Insert answers into the blank, white cells provided.
6. Follow all question instructions as closely as possible (e.g. only selecting one answer if directed).
7. For questions which specify you to 'Select all that apply' or 'Select the appropriate response', **use an 'X' to indicate the options that apply to the identified policy tool and leave all other options blank.** Do not input any other text such as 'No' or 'N/A' in the options that do not apply to the identified policy tool.
8. Some questions in the survey are conditional to your previous responses. This conditional logic is highlighted in yellow wherever applicable. Please ensure that you answer all questions that follow this conditional logic by looking out for the yellow highlighted rows.
9. Terms that appear in bold and purple are **glossary terms.** You can find definitions for these terms in the survey guidance here.

General Questions

Please answer all questions in this section.		
1	Law Firm Name	
2	Jurisdiction	
3	**Policy Tool** Name *Note: If not in English, please provide the English translation followed by the original name in parentheses.*	
4	Please provide a **single** **web-archived link(s)** to the source material (e.g. the text of the **policy tool** and/or related documentation used to respond to this survey). Do not use hyperlinked text—simply paste the full web URL.	
4.1	Please provide any supplemental **web-archived link(s)** to the source material (e.g. the text of the **policy tool** and/or related documentation used to respond to this survey). Do not use hyperlinked text—simply paste the full web URL.	
5	Which of the following **governance domains** does this **policy tool** relate to? Select all that apply using an X against each relevant domain. *Note: Please complete the domain-specific questions for all selected domains.*	
5.1	**Climate-related disclosure**	
5.2	**Transition planning**	
5.3	**Public procurement**	
5.4	**Carbon credits**	
5.5	**Prudential tools**	
5.6	**Methane abatement**	

5.7	**Aviation**	
5.8	**Buildings**	
5.9	**Trade Measures**	
6	Describe the **policy tool**, including its goal and/or purpose and its relevance to the selected domain(s) in question 5. Please note if the policy tool has component parts which are being surveyed or is known more colloquially by another name. *Example: The policy tool requires publicly listed companies to comply with the recommendations of the Taskforce for Climate Related Financial **Disclosure** or to explain the absence of such **disclosures**.*	
7	Status of the **policy tool**. Select the appropriate response using an X against the relevant status.	
7.1	Approved, in force	
7.2	Approved, not yet in force	
7.3	Repealed	
7.4	Superseded	
7.5	Retired	
7.6	Other	
8	If needed, please clarify or elaborate on your answer to Q7.	
9	Year **policy tool** adopted/published	
10	Year(s) of (planned) entry into force. If multiple dates for entry into force, please list years separated by semicolon, e.g. “2028; 2030”.	
11	If multiple years/phases for entry into force, please describe.	

12	End date of **policy tool**, if relevant.	
13	Describe the type of actor authoring/issuing the **policy tool**. *For example, head of state, independent regulatory or supervisory body, legislature, judiciary, ministry/department/agency, etc.*	
14.1	Name the authority responsible for overseeing implementation and/or enforcement. In the case of EU regulation, limit answers to EU (i.e. supranational) authorities. ***(If there are multiple authorities for overseeing implementation and/or enforcement, please name one each in 14.1, 14.2, 14.3, and so on, until 14.5. If there is only one authority, please name it here and leave 14.2, 14.3, 14.4 and 14.5 blank).***	
14.1.1	To provide contextual information, indicate the extent to which this authority is perceived as having the capacity to undertake this work. Select the appropriate response using an X.	
14.1.1.1	No capacity	
14.1.1.2	Low capacity	
14.1.1.3	Medium capacity	
14.1.1.4	High capacity	
14.2	**Authority number 2** **(Answer if there is more than one authority overseeing implementation and/or enforcement. Otherwise leave blank.)** Name the authority responsible for overseeing implementation and/or enforcement. In the case of EU regulation, limit answers to EU (i.e. supranational) authorities.	
14.2.1	To provide contextual information, indicate the extent to which this authority is perceived as having the capacity to undertake this work. Select the appropriate response using an X.	
14.2.1.1	No capacity	

14.2.1.2	Low capacity	
14.2.1.3	Medium capacity	
14.2.1.4	High capacity	
14.3	**Authority number 3** **(Answer if there are more than two authorities overseeing implementation and/or enforcement. Otherwise leave blank.)** Name the authority responsible for overseeing implementation and/or enforcement. In the case of EU regulation, limit answers to EU (i.e. supranational) authorities.	
14.3.1	To provide contextual information, indicate the extent to which this authority is perceived as having the capacity to undertake this work. Select the appropriate response using an X.	
14.3.1.1	No capacity	
14.3.1.2	Low capacity	
14.3.1.3	Medium capacity	
14.3.1.4	High capacity	
15	If relevant, please provide additional information to clarify the reasoning behind the response to Q14.	
16	Are there monitoring systems in place to oversee the implementation and/or enforcement of the **policy tool**, such as periodic reporting requirements or open data and public dashboards? Select the appropriate response using an X.	
16.1	Not specified	
16.2	Yes	
17	If yes, describe the monitoring systems in place, referencing the relevant section/ subsection/ paragraph of the **policy tool**.	

Domain 1: Climate-Related Disclosure

Note: Climate-related disclosure tools are those recommending or requiring entities to provide information about emissions associated with their activities and/or climate risk exposure. Disclosure obligations or recommendations ask entities to report information but set no demands for action beyond reporting.

Section 1.1: Who is being targeted?

1.1	With regard to **climate-related disclosure**, which of the following entities are targeted through this **policy tool**? Identify each **targeted entity** using an X. Note: With regard to sectoral actors, in cases where mandatory and voluntary obligations are mixed (e.g. mandatory for one sector, voluntary for another), select "mandatory" as there will be further opportunity to clarify.			
		Mandatory	Voluntary	Not targeted
	Publicly-traded entities			
	Private companies			
	Financial institutions			
	Small and medium-sized enterprises			
	State-owned companies			
	Not-for-profit organisations			
	Government agencies and/or departments (supranational)			
	Government agencies and/or departments (national)			
	Government agencies and/or departments (regional - e.g. state, province, region, metropolitan region)			
	Government agencies and/or departments (local- e.g. county, district, municipality, city)			
	Government agencies and/or departments (unspecified)			
	Sectoral actors (e.g. healthcare, utilities, education)			
	Other			
1.1.14	If “Other” please clarify.			

1.2	If “Financial institutions” selected in Q1.1, please identify the subset of actors to which the **policy tool** applies using an X. Otherwise, leave blank.			
		Mandatory	Voluntary	Not targeted
	Banks			
	Non-Bank Financial Companies			
	Insurance and Re-Insurance Categories			
	Asset Managers			
	Pension Funds			
	Other			
1.2.7	If “Other” please clarify.			
1.3	In cases where entities are targeted by sector, identify the primary sector to which the **policy tool** applies using an X. If entities are not targeted by sector, please leave this question blank.			
		Mandatory	Voluntary	Not applicable
	Agriculture, forestry, and fishing			
	Mining and quarrying			
	Manufacturing			
	Electricity, gas, steam, and air conditioning supply			
	Water supply; sewerage; waste management and remediation activities			
	Construction			
	Wholesale and retail trade: repair of motor vehicles and motorcycles			
	Transportation and storage			

	Accommodation and food service activities			
	Information and communication			
	Financial and insurance activities			
	Real estate activities			
	Professional, scientific and technical activities			
	Administrative and support service activities			
	Public administration and defense; compulsory social security			
	Education			
	Human health and social work activities			
	Arts, entertainment and recreation			
	Other service activities			
	Activities of households as employers; undifferentiated goods-and services-producing activities of households for own use			
	Activities of extraterritorial organizations and bodies			
	Other			

1.4	If “Other” selected, please explain.	
1.5	If necessary, please clarify any of the above answers to questions regarding the **targeted entities**. For example, specify if duties vary across the **targeted entities**.	
1.6	Describe the threshold criteria to identify entities for whom or instances in which compliance is mandatory.	
	Threshold type	Describe

	Minimum number of employees (Enter min number of full-time employees – FTEs)	
	Minimum revenue (Enter minimum revenue)	
	Minimum assets (Enter minimum assets)	
	Minimum contract value (Enter minimum contract value)	
	Entity is headquartered in the jurisdiction	
	Other	
1.7	Can entities for whom compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an X.	
	No	
	Yes	
	Not specified	
1.8	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**.	
1.9	What are the sanctions for non-compliance? Select all that apply using an X and describe in the next question.	
	Monetary fine	
	Restriction on business activities	
	Voiding or setting aside of contract	
	Exclusion from government contracts	
	Award of damages or compensation	
	Penalty for senior managers	
	Criminal penalties	
	Not specified	

	Not applicable (in the case of voluntary tools)	
	Other	
1.10	Please describe the provisions within the policy pertaining to the types of sanctions for non-compliance selected above.	
1.11	Is there evidence that this **policy tool** is being implemented? For example, if follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc. *For EU policies: Please use this question to describe whether EU Directives are being transposed into member-state jurisdictions.* Select the appropriate response using an X.	
	No known evidence of implementation	
	Yes	
1.12	Briefly explain your answer to Q1.11. If referencing **new sources,** please provide a **web-archived link** to the source material.	
1.13	Is there any evidence that the **policy tool** has ever been enforced? For example, evidence of regulatory disputes, sanctions, penalties for non-compliance. Select the appropriate response using an X.	
	No known evidence of enforcement	
	Yes	
1.14	Briefly explain your answer to Q1.13, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	

1.15	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool**, or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an X.	
	No known involvement in litigation	
	Yes	
1.16	Briefly explain your answer to Q1.15. If referencing **new sources,** please provide a **web-archived link** to the source material.	

Section 1.2: What is being disclosed?

1.17	Are **targeted entities** recommended or required to disclose any of the following climate-related information? Select all that apply using an X.			
		Recommended	Required	Neither recommended nor required
	Greenhouse gas (GHG) emissions			
	GHG emissions offsets or removals			
	GHG emissions reduction targets			
	Other climate-related targets			
	Physical climate risk			
	Transition risk			
	Transition plan			
1.18.1	Do the **targeted entities** for any of the above duties differ from those entities identified in Q1.1 (Who is being targeted)? For example, “Disclosure of physical climate risk is only for financial			

	institutions" or "disclosure of transition plans only for publicly-listed companies with over £1 M in annual turnover". If so, please describe the **targeted entities** and their thresholds for compliance, making note of differences such as whether duties are recommended/voluntary rather than required/mandatory or whether thresholds for compliance are different for particular duties.	
If the disclosure of GHG emissions is recommended or required, complete questions 1.20-1.24. Otherwise skip to question 1.25.		
1.20	What **scope of emissions** must be disclosed? Select all that apply using an X. Otherwise, leave blank.	
	Scope 1 emissions	
	Scope 2 emissions	
	Scope 3 emissions, relevant or material	
	Scope 3 emissions, a specified proportion of coverage	
	Scope 3 emissions, all	
	Not specified	
1.21	If "**Scope 3 emissions**, a specific proportion of coverage" selected, please describe.	
1.22	Are entities recommended or required to disclose GHG emissions accounting methodologies or standards? Select the appropriate response using an X.	
	Not specified	

	Recommended	
	Required	
1.23	Does the **policy tool** recommend or require the GHG emissions inventory be third-party verified? Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	
1.24	If necessary, provide any additional clarification to the above responses about GHG emissions **disclosure**.	

If the <u>disclosure of GHG emissions offsets or removals</u> is recommended or required, complete questions 1.25-1.27. Otherwise skip to question 1.28.

1.25	Does the **policy tool** recommend or require any of the following? Select all that apply using an X.			
		Recommended	Required	Not specified
	Entities disclose **offsetting** purchases			
	Entities disclose whether purchased **offset** are verified			
	Certifications and/or standards for the use of **GHG offsetting or removals**			
1.26	Describe the provisions in the **policy tool** recommending or requiring the **disclosure** of **GHG emissions offsets or removals** identified above.			

1.27	Does the **policy tool** include any other recommendations or requirements regarding the appropriate use of **offsets and/or removals**? If so, please describe.			
If the <u>disclosure of GHG emissions reduction targets</u> is recommended or required, complete questions 1.28-1.35. Otherwise skip to question 1.40.				
1.28	Does the **policy tool** recommend or require entities to disclose a net zero target, or other kind of emissions reductions target? Select all that apply using an X.			
		Recommended	Required	Neither recommended nor required
	A net zero target			
	Other			
1.28.11	If "Other," please explain (i.e. carbon neutral targets, 1.5°C-aligned targets, absolute emissions reduction targets, etc).			
1.29	Does the **policy tool** recommend or require entities disclose their progress in achieving their **emissions reductions** targets? Select the appropriate response using an X.			
	No			
	Recommended			
	Required			
1.30	Does the **policy tool** specify a **scope of emissions** to be covered by the **GHG emissions reductions** target to be disclosed? Select the appropriate response using an X.			
	No			
	Yes			

1.31	If yes, what is the recommended or required **scope of emissions** to be covered by the disclosed GHG emissions target? Select all that apply using an X.		
		Recommended	Required
	Scope 1 emissions		
	Scope 2 emissions		
	Scope 3 emissions, relevant or material		
	Scope 3 emissions, a specified proportion of coverage		
	Scope 3 emissions, all		
1.34	Does the **policy tool** recommend or require entities set targets for non-carbon GHGs? Select the appropriate response using an X.		
	No		
	Recommend		
	Require		
1.35	If recommended or required to set targets for non-carbon GHGs, which gases? Select all that apply using an X.		
	Methane (CH_4)		
	Nitrous oxide (N_2O)		
	Hydrofluorocarbons (HFCs)		
	Perfluorocarbons (PFCs)		
	Sulphur hexafluoride (SF6)		

	Nitrogen trifluoride (NF3)	
	Not specified	
If the <u>disclosure of other climate-related targets</u> is recommended or required, complete questions 1.40-1.41. Otherwise skip to question 1.42.		
1.40	Which of the following **other climate-related targets** does the **policy tool** recommend or require entities disclose? Select all that apply using an X.	
	Targets for renewable energy procurement	
	Targets for **fossil fuel phase down/phase out**	
	Targets or goals related to **climate adaptation**	
	Targets of goals related to **nature and/or biodiversity**	
	Targets or goals related to **just transition**	
	Other	
1.41	For any of the above-selected answers, please clarify or elaborate, referencing the sections/subsections/paragraphs of the **policy tool** relevant to other climate-related targets.	
If the <u>disclosure of physical risk</u> is recommended or required, complete questions 1.42-1.44. Otherwise skip to question 1.45.		
1.42	What types of physical risk must be disclosed? Select all that apply using an X.	
	Not specified	
	To company	

	To society (double materiality)			
1.43	What is the **materiality standard** for the **disclosure** of physical risk? Select the appropriate response using an X.			
	Self-assessed material risk			
	Externally-defined material risk			
	Other			
1.44	If "Other" selected, please describe.			
1.45	Does the **policy tool** recommend or require any of the following measures? Select all that apply using an X.			
		Recommended	Required	Not specified
	Entities disclose the results of climate risk-related stress tests that are related to **physical climate risk**?			
	Entities disclose their methodology for **scenario analysis** with relation to **physical climate risk**?			
	Risk assessments of physical risk be third-party verified?			
If the disclosure of transition risk is recommended or required, complete questions 1.46-1.49. Otherwise skip to question 1.50.				
1.46	What types of transition risk must be disclosed? Select all that apply using an X.			
	Not specified			

	Risks that societal transitions may pose to the disclosing entity			
	Risks that the disclosing entity's transition may pose to society (double materiality)			
1.47	What is the **materiality standard** for the **disclosure** of transition risk? Select the appropriate response using an X.			
	Self-assessed material risk			
	Externally-defined material risk			
	Other			
1.48	If "other" selected, please describe.			
1.49	Does the **policy tool** recommend or require any of the following? Select all that apply using an X.			
		Recommended	Required	Not specified
	Entities disclose the results of climate-related risk stress tests that are related to transition risk			
	Entities have transition risk assessment be third-party verified			
	Entities disclose their methodology for **scenario analysis** related to transition risk			
If the <u>disclosure of transition plans</u> is recommended or required, complete questions 1.50-1.51 Otherwise skip to question 1.54.				
1.50	Does the **policy tool** recommend or require any of the following? Select all that apply using an X.			
		Recommended	Required	Not specified
	Audited accuracy and/or third-party verification of **transition plans**			

	Entities disclose progress in implementing **transition plans**			
	Entities disclose their methodology for **scenario analysis** related to **transition planning**			
1.51	Does the **policy tool** recommend or require **targeted entities** disclose any other climate-related information? Select all that apply using an X.			
		Recommended	Required	Neither recommended nor required
1.51.1	Climate-related opportunities			
1.51.2	Remuneration based on achieving climate-related goals			
1.51.4	Data limitations of **scenario analyses**			
1.51.6	Climate-related lobbying and/or policy engagement			
1.51.8	**Nature-related impacts**			
1.51.9	**Just transition indicators**			

Section 1.3: Standards and Frameworks

1.54	Does the **policy tool** require the use of or make reference to any of the following standards, frameworks, or guidelines? Select all that apply using an X.			
		Required	Referenced	Neither required nor referenced
1.54.1	IFRS S1			
1.54.2	IFRS S2			
1.54.3	Task Force on Climate-related Financial **Disclosures** (TCFD)			

1.54.4	GHG Protocol Corporate Accounting and Reporting Standard			
1.54.5	GHG Protocol Corporate Value Chain (**Scope 3**) Accounting and Reporting Standard			
1.54.6	CDP (formerly known as Climate **Disclosure** Project) reporting framework			
1.54.8	Global Reporting Initiative (GRI)			
1.54.9	Sustainability Accounting Standards Board (SASB)			
1.54.10	European Sustainability Reporting Standards (ESRS)			
1.54.11	Taskforce on Nature-related Financial **Disclosures** (TNFD)			
1.54.14	Other			
1.55	List **any other** standards, frameworks or guidelines integrated into or referred to within the **policy tool**. Please provide a **web-archived link** to each standard/framework/guideline listed.			
1.56	Note any additional important information about the contribution of the **policy tool** to net zero alignment not captured in the above questions. If referencing **new sources** (i.e. not referenced in Question 2.1), provide a **web-archived link** to the source material.			

Domain 2: Transition Planning

Note: Transition planning policy tools are those recommending or requiring that entities develop, disclose, and/or implement targets or pathways towards decarbonisation. Transition planning obligations or recommendations ask entities to act. At a minimum, this can mean asking regulated entities to develop and disclose targets. Transition planning tools may also define ‘credible’ transition plans and/or set requirements for implementation.

Section 2.1: Who is being targeted?

2.1	With regard to **transition planning**, which of the following entities are targeted through this **policy tool**? Identify each **targeted entity** using an X.			
		Mandatory	Voluntary	Not targeted
	Publicly-traded entities			
	Private companies			
	Financial institutions			
	Small and medium-sized enterprises			
	State-owned companies			
	Not-for-profit organisations			
	Government agencies and/or departments (supranational)			
	Government agencies and/or departments (national)			
	Government agencies and/or departments (regional – e.g. state, province, region, metropolitan region)			
	Government agencies and/or departments (local-e.g. county, district, municipality, city)			
	Government agencies and/or departments (unspecified)			
	Sectoral actors (e.g. healthcare, utilities, education)			
	Other			
2.1.14	If “Other” please clarify.			
2.2	If “Financial institutions” selected in Q2.1, please identify the subset of actors to which the **policy tool** applies using an X against each actor. Otherwise, leave blank.			
		Mandatory	Voluntary	Not targeted

	Banks			
	Non-Bank Financial Companies			
	Insurance and Re-Insurance Categories			
	Asset Managers			
	Pension Funds			
	Other			
2.2.7	If “Other” please clarify.			
2.3	In cases where entities are targeted by sector, identify the sector to which the **policy tool** applies using an X against each sector. If entities are not targeted by sector, please leave this question blank.			
		Mandatory	Voluntary	Not applicable
	Agriculture, forestry, and fishing			
	Mining and quarrying			
	Manufacturing			
	Electricity, gas, steam, and air conditioning supply			
	Water supply; sewerage; waste management and remediation activities			
	Construction			
	Wholesale and retail trade: repair of motor vehicles and motorcycles			
	Transportation and storage			
	Accommodation and food service activities			
	Information and communication			
	Financial and insurance activities			
	Real estate activities			

	Professional, scientific and technical activities			
	Administrative and support service activities			
	Public administration and defense; compulsory social security			
	Education			
	Human health and social work activities			
	Arts, entertainment and recreation			
	Other service activities			
	Activities of households as employers; undifferentiated goods-and services-producing activities of households for own use			
	Activities of extraterritorial organizations and bodies			
	Other			
	If “Other” selected, please explain.			
2.4	If necessary, please clarify any of the above answers to questions regarding the **targeted entities**. For example, specify if duties vary across the **targeted entities**.			
2.5	Describe the threshold criteria to identify entities for whom or instances in which compliance is mandatory.			
	Threshold type	Describe		
	Minimum number of employees (Enter min number of full-time employees – FTEs)			

	Minimum revenue (Enter minimum revenue)	
	Minimum assets (Enter minimum assets)	
	Minimum contract value (Enter minimum contract value)	
	Entity is headquartered in the jurisdiction	
	Other	
2.6	Can entities for whom compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an X.	
	No	
	Yes	
	Not specified	
2.7	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**.	
2.8	What are the sanctions for non-compliance? Select all that apply using an X and describe in the next question.	
	Monetary fine	
	Restriction on business activities	
	Voiding or setting aside of contract	
	Exclusion from government contracts	
	Award of damages or compensation	
	Penalty for senior managers	
	Criminal penalties	
	Not specified	
	Not applicable (in the case of voluntary tools)	

	Other	
2.9	Please describe the provisions within the policy pertaining to the types of sanctions against non-compliance selected above.	
2.10	Is there evidence that this **policy tool** is being implemented? For example, if follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc. *For EU policies: Please use this question to describe whether EU Directives are being transposed into member-state jurisdictions.* Select the appropriate response using an X.	
	No known evidence of implementation	
	Yes	
2.11	Briefly explain your answer to Q2.10. If referencing **new sources,** please provide a **web-archived link** to the source material.	
2.12	Is there any evidence that the **policy tool** has ever been enforced? For example, is there any evidence of regulatory disputes, sanctions, penalties for non-compliance, etc? Select the appropriate response using an X.	
	No known evidence of enforcement	
	Yes	
2.13	Briefly explain your answer to Q2.12, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	

2.14	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool** or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an X.	
	No known involvement in litigation	
	Yes	
2.15	Briefly explain your answer to Q2.14. If referencing **new sources,** please provide a **web-archived link** to the source material.	

Section 2.2: Disclosure of Plans and Targets

2.16	Are **targeted entities** recommended or required to publicly disclose climate-related targets or **transition plans**? Select the appropriate response using an X.	
	No	
	Recommended	
	Required	
If recommended or required, please ensure you identify this as a policy tool relevant to climate-related disclosure and answer the supplemental questions on climate-related disclosure.		

Section 2.3: Target Setting

2.17	Does the **policy tool** recommend or require **targeted entities** **have or develop** climate-related targets? Select the appropriate response using an X.	
	No	
	Recommended	
	Required	
If the answer to Q2.17 is "No," then skip to question 2.21.		
2.18	Do the **targeted entities** for **having or developing climate-related targets** differ from those entities identified in Q2.1 (Who is being targeted)? If so, please describe, making note of	

	differences such as whether duties are recommended/voluntary rather than required/mandatory or whether thresholds for compliance are different for particular duties.			
2.19	Which of the following targets or data related to targets does the **policy tool** recommend or require entities have or develop? Select all that apply using an X.			
		Recommended	Required	Not specified
2.19.3	A net zero target			
2.19.7	Targets for **renewable energy procurement**			
2.19.8	Targets for **fossil fuel phase down/phase out**			
2.19.10	Targets or goals related to **climate adaptation**			
2.19.11	Targets or goals related to **nature and/or biodiversity**			
2.19.12	Targets or goals related to **just transition**			
2.19.13	Other targets			
2.20	Describe and reference the section/subsection/paragraph of the **policy tool** related to setting **the targets identified above.**			

Section 2.4: GHG Emissions Reductions Targets

2.21	Does the **policy tool** recommend or require entities **publicly report on their progress** in achieving their GHG **emissions reductions** targets? Select the appropriate response using an X.	
	No	
	Recommended	
	Required	

2.22	Does the **policy tool** specify a **scope of emissions** to be covered by the GHG **emissions reductions** target? Select the appropriate response using an X.			
	No			
	Yes			
2.23	If yes, what is the recommended or required **scope of emissions** to be covered by the GHG emissions target? Select all that apply using an X. Otherwise, leave blank.			
			Recommended	Required
	Scope 1 emissions			
	Scope 2 emissions			
	Scope 3 emissions, relevant or material			
	Scope 3 emissions, a specified proportion of coverage			
	Scope 3 emissions, all			
2.26	If recommended or required to set targets for non-carbon GHGs, which gases? Select all that apply using an X. Otherwise, leave blank.			
	Methane (CH_4)			
	Nitrous oxide (N_2O)			
	Hydrofluorocarbons (HFCs)			
	Perfluorocarbons (PFCs)			
	Sulphur hexafluoride (SF6)			
	Nitrogen trifluoride (NF3)			
	Carbon dioxide equivalent (CO_2e)			
	Not specified			
2.27	Does the **policy tool** recommend or require entities have or develop interim **GHG emissions reductions** targets? Select the appropriate response using an X.			
	Not specified			
	Recommended			
	Required			

2.28	If “Recommended” or “Required” selected, please describe, and reference the relevant section/subsection/paragraph of the **policy tool**.

Section 2.5: Transition Planning

2.34	Does the **policy tool** recommend or require **targeted entities** to have or develop a **transition plan**? Select the appropriate response using an X.			
	No			
	Recommended			
	Required			
If the answer to Q2.34 is “No,” then skip to Q2.43.				
2.35	Do the **targeted entities** for **having or developing a transition plan** differ from those entities identified in Q2.1 (Who is being targeted)? If so, please describe, making note of differences such as whether duties are recommended/voluntary rather than required/mandatory or whether thresholds for compliance are different for particular duties.			
2.36	Does the **policy tool** recommend or require any of the following? Select all that apply using an X.			
		Recommended	Required	Neither recommended nor required
	A timeframe for the **transition plan** (e.g. 10-year plan, 20-year plan, etc)			
	Key performance indicators (KPIs) for monitoring **transition plan** implementation			
	Updates to the **transition plan**			

	Third party verification and/or audited accuracy of the **transition plan**			
	Identified methodology for **scenario analysis**			
	Incorporation of climate change considerations into capital allocation and/or expenditure plans			
2.37	Describe the provisions of the **policy tool** recommending or requiring **targeted entities** to implement the duties identified above, referencing the relevant section/sub-section/paragraph of the **policy tool**.			
2.38	Does the **policy tool** recommend or require entities to monitor progress in implementing their **transition plan**? Select the appropriate response using an X.			
	No			
	Recommended			
	Required			
2.39	If "Recommended" or "Required" selected, please describe the specific rules related to monitoring the implementation of **transition plans**, and reference the relevant section/subsection/paragraph of the **policy tool**.			
2.40	Does the **policy tool** recommend or require **targeted entities** align their engagement, lobbying, and/or governance practices with their targets and/or **transition plans**? Select the appropriate response using an X.			
	No			
	Recommended			
	Required			
2.41	If "recommend" or "require" selected, which of the following describes the **policy tool**'s prescription regarding entities engagement, lobbying, and/or governance practices. Select all that apply using an X. Otherwise, leave blank.			

		Recommended	Required
	Value chain engagement		
	Investor engagement		
	Consumer engagement		
	Policy engagement and lobbying practices		
	Corporate governance structure for transition and verification		
	Climate-related financial incentives for employees and board members		
	Other		
2.42	If any of the above selected, please describe and reference the section/subsection/paragraph of the **policy tool** relevant to aligning engagement, lobbying, and/or corporate governance to **transition plans**.		

Section 2.6: Standards and Frameworks

2.43	Does the **policy tool** require the use of or make reference to any of the following standards, frameworks, or guidelines? Select all that apply using an X. Otherwise, leave blank.			
		Required	Referenced	Neither required nor referenced
	IFRS S1			
	IFRS S2			
	Task Force on Climate-related Financial **Disclosures** (TCFD)			
	CDP (formerly known as Climate **Disclosure** Project) Technical Note: Reporting on Climate **Transition Plans**			
	International Integrated Reporting Framework			

	Global Reporting Initiative (GRI)			
	Sustainability Accounting Standards Board (SASB)			
	Science Based Targets initiative (SBTi)			
	Science Based Targets initiative (SBTi) Net Zero Standard			
	European Sustainability Reporting Standards (ESRS)			
	Other			
2.44	List **any other** standards, frameworks or guidelines required by or referred to within the **policy tool**. Please provide a **web-archived link** to each standard/framework/guideline listed.			
2.45	Note any additional important information about the contribution of the **policy tool** to net zero alignment not captured in the above questions. If referencing **new sources** (i.e. not referenced in Question 3), provide a **web-archived link** to the source material.			

Domain 3: Public Procurement

Note: Public procurement policy tools are those recommending or requiring governments to consider climate objectives when purchasing goods, services, or works.

Section 3.1: Who is being targeted?

3.1	With regard to **public procurement**, which of the following entities are targeted through this **policy tool**? Identify each **targeted entity** using an X.			
		Mandatory	Voluntary	Not targeted
	Government agencies and/or departments (supranational)			
	Government agencies and/or departments (national)			
	Government agencies and/or departments (regional – e.g. state, province, region, metropolitan region)			
	Government agencies and/or departments (local-e.g. county, district, municipality, city)			
	Government agencies and/or departments (unspecified)			
	Sectoral actors (e.g. healthcare, utilities, education)			
	Other			
3.1.14	If “Other” please clarify.			
3.3	In cases where entities are targeted by sector, identify the sector to which the **policy tool** applies using an X against each sector. If entities are not targeted by sector, please leave this question blank.			
		Mandatory	Voluntary	Not applicable
	Agriculture, forestry, and fishing			
	Mining and quarrying			
	Manufacturing			
	Electricity, gas, steam, and air conditioning supply			

	Water supply; sewerage; waste management and remediation activities			
	Construction			
	Wholesale and retail trade: repair of motor vehicles and motorcycles			
	Transportation and storage			
	Accommodation and food service activities			
	Information and communication			
	Financial and insurance activities			
	Real estate activities			
	Professional, scientific and technical activities			
	Administrative and support service activities			
	Public administration and defense; compulsory social security			
	Education			
	Human health and social work activities			
	Arts, entertainment and recreation			
	Other service activities			
	Activities of households as employers; undifferentiated goods-and services-producing activities of households for own use			
	Activities of extraterritorial organizations and bodies			
	Other			
3.3.23	If “Other” selected, please explain.			

3.4	If necessary, please clarify any of the above answers to questions regarding the **targeted entities**. For example, specify if duties vary across the **targeted entities**.	
3.5	Describe the threshold criteria to identify entities for whom or instances in which compliance is mandatory.	
	Threshold type	Describe
	Minimum number of employees (Enter min number of full-time employees – FTEs)	
	Minimum revenue (Enter minimum revenue)	
	Minimum assets (Enter minimum assets)	
	Minimum contract value (Enter minimum contract value)	
	Entity is headquartered in the jurisdiction	
	Other	
3.6	Can entities for whom compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an X.	
	No	
	Yes	
	Not specified	
3.7	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**.	

3.8	What are the sanctions for non-compliance? Select all that apply using an X and describe in the next question.	
	Monetary fine	
	Restriction on business activities	
	Voiding or setting aside of contract	
	Exclusion from government contracts	
	Award of damages or compensation	
	Penalty for senior managers	
	Criminal penalties	
	Not specified	
	Not applicable (in the case of voluntary tools)	
	Other	
3.9	Describe the sanctions for non-compliance selected above, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
3.10	Is there evidence that this **policy tool** is being implemented? For example, if follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc. *For EU policies: Please use this question to describe whether EU Directives are being transposed into member-state jurisdictions.* Select the appropriate response using an X.	
	No known evidence of implementation	
	Yes	
3.11	Briefly explain your answer to Q3.10. If referencing **new sources,** please provide a **web-archived link** to the source material.	

3.12	Is there any evidence that the **policy tool** has ever been enforced? For example, is there any evidence of regulatory disputes, sanctions, penalties for non-compliance, etc? Select the appropriate response using an X.	
	No known evidence of enforcement	
	Yes	
3.13	Briefly explain your answer to Q3.12, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	
3.14	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool**, or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an X.	
	No known involvement in litigation	
	Yes	
3.15	Briefly explain your answer to Q3.14. If referencing **new sources,** please provide a **web-archived link** to the source material.	

Section 3.2: Procurement Cycle

3.18	Does the **policy tool** set targets in relation to **climate-aligned public procurement**? Select all that apply using an X.			
		Allowed/ recommended	Required	Not specified

	A minimum percentage of tenders/contracts which must include **climate-related criteria**			
	A minimum number of **climate-related criteria** to be included in purchases/tenders			
	A minimum value of **procurement** spend which must include **climate-related criteria**			
	A maximum amount of greenhouse gas emissions associated with tenders/contracts (i.e. a carbon ceiling/envelope)			
	Targets for the reduction of fossil fuel energy consumption associated with tenders/contracts			
	Targets for the **procurement** of products which have a third-party sustainability certification/ ecolabel/ voluntary sustainability standard			
	Other			
3.19	If "other" selected, describe and reference the section/subsection/paragraph of the **policy tool** relevant to other **climate-aligned procurement** targets.			
3.20	Does the **policy tool** make recommendations or allowances or set requirements related to climate change mitigation at the **procurement** planning stage? Select all that apply using an X.			
		Allowed/ recommended	Required	Not specified
	Procuring entities consider climate change mitigation and/or GHG **emissions reductions** goals when defining their **procurement** needs			
	Procuring entities have a strategy, plan, or policy regarding the			

	alignment of **procurement** practices with climate objectives			
	Procuring entities set aside a portion of their **procurement** budgets for **climate-aligned procurement**			
	Procuring entities include emissions from **procurement** in their carbon budget			
	Procuring entities follow guidance on calculating **procurement**-related emissions			
	Procuring entities inform and/or consult with market actors in advance of publishing the formal call for tenders, in relation to climate considerations (i.e. **pre-procurement** consultation, engagement, or dialogue)			
	Other allowances, recommendations or requirements related to climate change mitigation or GHG emissions at the **procurement** planning stage			
3.21	Describe the provisions in the **policy tool** recommending or requiring **targeted entities** to fulfil duties identified above, referencing the relevant section/sub-section/paragraph of the **policy tool**.			
If the response to 3.20.2 is "Not specified," skip to Q3.29.				
3.22	Must the strategy, plan, or policy regarding the alignment of **procurement** practices with climate objectives be published? Select the appropriate response using an X.			
	Not specified			
	Yes			
3.25	Does the **policy tool** specify the **scope of emissions** to be covered by the **procurement** rule? Select all that apply using an X.			

	Scope 1 emissions	
	Scope 2 emissions	
	Scope 3 emissions, relevant or material	
	Scope 3 emissions, a specified proportion of coverage	
	Scope 3 emissions, all	
	Not specified	
3.26	If needed, provide further clarification to the above answer.	

Section 3.3: Life-cycle or Whole-life Costing

3.29	Does the **policy tool** allow, recommend, or require any of the following with regard to life cycle and/or whole life costing? Select all that apply using an X.			
		Allow and/or recommend	Require	Not specified
	The use of **life-cycle/whole-life costing** to capture climate-related impacts (e.g. energy or fuel consumption, monetized emissions or other environmental costs, end-of-life costs, etc)			
	The application of **life-cycle /whole-life costing** to certain types of contracts or tenders			
	A methodology or tool for the calculation of **life-cycle /whole-life costs**			
3.30	Describe the provisions recommending or requiring **targeted entities** to follow criteria with regard to life cycle and/or whole life costing identified above, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Section 3.4: Tendering or Solicitation Stage

3.31	Does the **policy tool** make allowances, recommendations or set requirements at the tendering or solicitation stage? Select all that apply using an X and describe in the next question.			
		Allowed/recommended	Required	Not specified
	Exclusion or debarment grounds based on compliance with climate obligations			
	Qualification or **selection criteria** related to climate change			
	Technical specifications (e.g. setting minimum levels of energy efficiency or maximum product carbon emissions)			
	Contract award criteria or value for money evaluation frameworks (e.g. minimum scores/performance levels under **climate-related criteria**, preferences for climate or sustainable products)			
	Other **procurement** stage allowances, recommendations or requirements			
3.32	Describe the provisions recommending or requiring **targeted entities** to set requirements at the tendering or solicitation stage identified above, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Section 3.6: Qualification or Selection Criteria

3.35	Does the **policy tool** make allowances, recommendations or set requirements related to supplier qualifications and/or **selection criteria**? Select all that apply using an X and describe in the next question.			
		Allow/ recommend	Require	Not specified

	Existence of net zero targets or commitments			
	Record of climate-related **disclosures**			
	Climate-related **transition plan** in place			
	Corporate systems or certifications linked to climate change and/or sustainability			
	Corporate systems or certifications linked to climate change and/or sustainability (e.g. an environmental management system or supply chain management system)			
	Participation in national or international climate initiatives (e.g.: Race to Zero, SBTi, CDP)			
	Other			
3.36	Describe the provisions recommending or requiring **targeted entities** to set requirements related to supplier qualifications and/or **selection criteria** identified above, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Section 3.7: Technical specifications

3.37	Does the **policy tool** make allowances, recommendations or set requirements related to **technical specifications** at the tendering or solicitation stage? Select all that apply using an X and describe in the next question.			
		Allow/ recommend	Require	Not specified
	Energy efficiency			
	Product carbon emissions			
	Carbon **disclosure** or reporting requirements			
	An absolute or intensity-based emissions cap			

	Percentage of energy from renewable sources			
	Percentage of recycled materials (e.g. paper, concrete)			
	Use of low or zero-carbon energy/ fuel technology (e.g. electric vehicles, heat pumps, solar panels)			
	Production methods (e.g. organic food or textiles, cold-mix asphalt, deforestation-free products)			
	End-of-life requirements (e.g. reuse, recycling, anaerobic digestion)			
	Availability of life-cycle assessment (LCA) data			
	Third-party certification/ecolabels			
	Supply chain considerations			
	Other			
3.38	Describe the provisions recommending or requiring **targeted entities** to set requirements related to **technical specifications** at the tendering or solicitation stage identified above, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Section 3.8: Contract Performance

3.39	Does the **policy tool** make allowances, recommendations or set requirements at the **contract performance** stage (e.g. contract clauses, key performance indicators or conditions which must be included to monitor and report on emissions or other metrics)? Select the appropriate response using an X.	
	Not specified	
	Allowed and/or recommended	
	Required	

3.40	Describe the recommendations, allowances, and/or requirements set at the **contract performance** stage**,** referencing the relevant section/subsection/paragraph of the **policy tool**.

Section 3.9: Standards and Frameworks

3.43	Does the **policy tool** require the use of or make reference to any of the following standards, frameworks, or guidelines? Select all that apply using an X.			
		Required	Referenced	Neither required nor referenced
	1. Paris Agreement			
	2. The jurisdiction's Nationally Determined Contribution (NDC)			
	3. IFRS S1			
	4. IFRS S2			
	5. Task Force on Climate-related Financial **Disclosures** (TCFD)			
	6. GHG Protocol Corporate Accounting and Reporting Standard			
	7. GHG Protocol Corporate Value Chain (**Scope 3**) Accounting and Reporting Standard			
	8. CDP (formerly known as Climate Disclosure Project) reporting framework			
	9. **Science Based Targets** initiative (SBTi)			
	10. **Science Based Targets** initiative (SBTi) Net Zero Standard			
	11. United Nations Sustainable Development Goals (SDGs)			

	12. ISO 20400 Sustainable Procurement			
	13. EU Green Public Procurement criteria and guidance			
	14. UNEP Sustainable Public Procurement Implementation Guidelines			
	15. OECD MAPS - Supplementary Module on Sustainable Public Procurement			
	16. Asian Development Bank Guidelines for Sustainable Procurement			
	17. African Development Bank Sustainable Public Procurement Guidance Note			
	18. Inter-American Development Bank Green Procurement Guidelines			
	19. EDBR Project Requirements/Environmental and Social Action Plan			
	20. World Bank Environmental and Social Framework			
	21. Other ISO standards			
	Other			
3.44	List **any other** standards, frameworks or guidelines required by or referred to within the **policy tool**. Please provide a **web-archived link** to each standard/framework/guideline listed.			
3.45	Note any additional important information about the contribution of the **policy tool** to net zero alignment not captured in the above questions. If referencing **new sources** (i.e. not referenced in Question 3), provide a **web-archived link** to the source material.			

Domain 4: Carbon Credits

Note: Carbon credit policy tools refer to tools establishing rules for the generation, use, exchange, and/or governance of carbon credits in both voluntary and compliance markets.

Section 4.1: Who is being targeted?

4.5	Describe the threshold criteria to identify entities for whom or instances in which compliance is mandatory.	
	Threshold type	Describe
	Minimum number of employees (Enter min number of full-time employees – FTEs)	
	Minimum revenue (Enter minimum revenue)	
	Minimum assets (Enter minimum assets)	
	Minimum contract value (Enter minimum contract value)	
	Entity is headquartered in the jurisdiction	
	Other	
4.6	Can entities for whom compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an X.	
	No	
	Yes	
	Not specified	
4.7	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**.	
4.8	What are the sanctions for non-compliance? Select all that apply using an X and describe in the next question.	
	Monetary fine	
	Restriction on business activities	
	Voiding or setting aside of contract	
	Exclusion from government contracts	
	Award of damages or compensation	

	Penalty for senior managers	
	Criminal penalties	
	Not specified	
	Not applicable (in the case of voluntary tools)	
	Other	
4.9	Describe the sanctions for non-compliance selected above, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
4.10	Is there evidence that this **policy tool** is being implemented? For example, if follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc. *For EU policies: Please use this question to describe whether EU Directives are being transposed into member-state jurisdictions.* Select the appropriate response using an X.	
	No known evidence of implementation	
	Yes	
4.11	Briefly explain your answer to Q4.10. If referencing **new sources,** please provide a **web-archived link** to the source material.	
4.12	Is there any evidence that the **policy tool** has ever been enforced? Select the appropriate response using an X. For example, is there any evidence of regulatory disputes, sanctions, penalties for non-compliance, etc?	
	No known evidence of enforcement	
	Yes	
4.13	Briefly explain your answer to Q4.12, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	

4.14	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool**, or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an X.	
	No known involvement in litigation	
	Yes	
4.15	Briefly explain your answer to Q4.14. If referencing **new sources,** please provide a **web-archived link** to the source material.	

Section 4.2: Purpose/Goal of the Policy

Please answer all questions in Sections 4.2 and 4.3. **Answers to Section 4.4 are conditional on your response to Q4.16 below. Please follow the instructions in Q4.16 below.**		
4.16	Which of the following best describes the goals and/or functions of the **policy tool**? Select all that apply using an X.	
	Criteria for **carbon credit** generation and/or **eligibility** (Please answer all questions in Section 4.4.1)	
	Carbon credit usage in **compliance markets** and/or **voluntary markets** (Please answer all questions in Section 4.4.2)	
	Operationalization of the **Paris Agreement Article 6** provisions as they apply to **carbon credits** (Please answer all questions in Section 4.4.3)	
	Exchange of **carbon credits** as a financial instrument (Please answer all questions in Section 4.4.4)	
	Other	
4.17	If "Other" is selected above, please describe the goals and/or functions of the **policy tool**.	

4.18	Which types of credits are specified in the **policy tool**? Select all that apply using an X.				
		Allowed	Encouraged	Discouraged	Not specified
	Carbon avoidance or reduction credits (e.g. avoiding deforestation, improving fuel efficiency, reducing fossil-fuel use)				
	Carbon removal credits (nature-based) (e.g. afforestation projects, restoring ecosystems)				
	Carbon removal credits (technological) (e.g. direct air capture and storage (DACCS), bioenergy carbon capture and storage (BECCS))				
	Other approaches to crediting (e.g. **jurisdictional REDD+**)				
4.19	If "Other" is selected above, please describe the types of credits allowed, encouraged or discouraged in the **policy tool**.				

Section 4.3: Governance of Carbon Credits

Please answer all questions in this section.				
4.20	Does the **policy tool** recommend or require the use of a **registry** to track the issuance, trading and/or retirement of **carbon credits**? Select all that apply using an X.			
		Required	Recommended	Not specified
	Creates its own public **registry**			
	Gold Standard			
	Verra			
	Climate Action Reserve (CAR)			

	American Carbon Registry (ACR)			
	Other(s)			
4.21	Describe the requirements set by the **policy tool** with regard to the use of a **registry**, referencing the relevant section/subsection/paragraph of the **policy tool**. If the **policy tool** specifies the use of a **registry**, please provide the name, specific section/subsection/paragraph of the **policy tool** that references the **registry**, and its **web-archived link**.			
4.22	Does the **policy tool** allow for the international trading of **carbon credits**? Select the appropriate response using an X.			
	Yes			
	No			
	Not specified			
4.23	In addition to any use of standards set by private registries identified in Q4.20, does the **policy tool** recommend or require any measures for avoiding **double counting** of **carbon credits**? Select all that apply using an X.			
		Required	Recommended	Not specified
	Carbon credits traded must be transparently listed in a **registry** (to avoid double issuance and retirement)			
	Provisions that prevent transfer, retirement or cancellation of a **carbon credit** once it has been cancelled or retired once (to prevent double use)			
	Procedure to keep or cancel the **carbon credit** when the emission reduction activity is claimed by more than one entity (to avoid double claiming of the **carbon credit**)			
	Corresponding adjustments to ensure that credits traded internationally are not **double counted** in the host and buyer jurisdictions			

	Double counting mentioned but with no additional specification			
	Other(s)			
4.24	Provide supplemental information about the provisions in the **policy tool** to prevent **double counting** of **carbon credits**, referencing and/or describing the relevant section/subsection/paragraph of the **policy tool** related to **double counting** of **carbon credits**.			
4.25	Does the **policy tool** recommend or require how the financial revenues raised from the generation and/or trade of **carbon credits** can or must be used? Select all that apply using an X.			
		Required	Recommended	Not specified
	Benefit-sharing arrangements with impacted communities			
	Administration and maintenance of the carbon market system			
	Using revenues for other environmental, developmental, or social objectives			
	Other(s)			
4.26	Provide supplemental information about your response above how the financial revenues generated by trading **carbon credits** can or must be used, referencing and describing the relevant section/subsection/paragraph of the **policy tool** related to revenue generation from **carbon credits**.			
4.27	Does the **policy tool** describe any grievance redress mechanisms/dispute resolution mechanisms (e.g. to resolve disputes between host communities and project developers or other stakeholders)? Select the appropriate response using an X.			
	Yes			
	No			
4.28	If "Yes" is selected above, please describe the grievance redress mechanisms/dispute resolution mechanisms, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Section 4.4: Policy goal-specific questions

Section 4.4.1: Criteria for Carbon Credits Generation and/or Eligibility

Please answer all questions in this section if you identified the goal(s) of this policy as setting the "criteria for carbon credit generation and/or eligibility" in Q4.16.

4.29	Does the policy recommend or require the use of a specific crediting mechanism's methodology or standard to generate the **carbon credit** or qualify it as eligible for particular purposes (i.e. for compliance or voluntary markets)? Select all that apply using an X.			
		Required	Recommended	Not specified
	Its own public/govt/national standard or methodology			
	Verra			
	Gold Standard			
	Integrity Council for the Voluntary Carbon Market (ICVCM)			
	Climate Action Reserve (CAR)			
	American Carbon Registry (ACR)			
	Clean Development Mechanism (CDM)			
	Paris Aligned Crediting Mechanism			
	CDR-specific Registry (Puro, Isometric etc)			
	Other(s)			
4.30	In addition to any standards referred to in Q4.29, does the **policy tool** set recommendations or requirements regarding the third-party certification of **carbon credits**? (e.g. qualifications, standards, conflict of interest (in cases where the certifier is hired by the project developer), etc.) Select the appropriate response using an X.			
	Required			
	Recommended			

	Not specified			
4.31	[If Q4.30 = "Recommended" or "Required"] Describe the recommendations or requirements regarding the third-party certification of **carbon credits**, referencing the relevant section/subsection/paragraph of the **policy tool**.			
4.32	In addition to the use of standards identified in Q4.29, does the policy include specific provisions that require or recommend that **carbon credits** should fulfil the principle of **additionality**? [**Additionality** refers to the fact that the **emissions reductions or removals** would not have occurred without the (expected) revenue from selling the **carbon credits**.] Select all that apply using an X.			
		Required	Recommended	Not specified
	Detailed "**barrier analysis**" to describe financial, institutional, informational, technological and other barriers, to evidence **carbon credits** are vital to overcome those barriers.			
	Detailed "**market penetration/common practices**" assessment to demonstrate **carbon credits** are relevant to the diffusion of technologies, services and practices			
	Detailed "**investment analysis**" to demonstrate financial necessity of **carbon credit** revenues			
	Use of dynamic baselines to determine **additionality**			
	Principle of **additionality** recommended or required, but without additional specification			
	Other(s)			
4.33	Please provide supplemental information about the provisions pertaining to **additionality** in the **policy tool**, referencing the relevant section/subsection/paragraph of the **policy tool**.			
4.34	In addition to the use of standards identified in Q4.29, how does the **policy tool** require or recommend that **carbon credits** should take into consideration the principle of **permanence**?			

	[**Permanence** refers to the **emissions reductions or removals** resulting from the **carbon credit**-generated activity being "permanent" or not reversible.] Select all that apply using an X.			
		Required	Recommended	Not specified
	Carbon **emissions avoided or removed** should remain sequestered for a minimum number of years [Please specify the minimum number of years below in Q4.35]			
	Only **carbon credits** of a certain type with lower **reversal risk** allowed (e.g. engineered **emissions reductions and removals**) allowed			
	Principle of **permanence** recommended or required, but without additional specifications			
	Other(s)			
4.35	**[If response to 4.34.1 = Recommended or Required]** Please specify the minimum number of years for which carbon **emissions avoided or removed** should remain sequestered to fulfil the **permanence** criteria]. **[For all other options]** Please provide supplemental information about the provisions related to the principle of **permanence** in the **policy tool**, referencing the relevant section/subsection/paragraph of the **policy tool**.			
4.36	In addition to the use of standards identified in Q4.20, does the **policy tool** **outline remedial measures in the case of reversal of credits**? (Reversal refers to instances in which carbon stored by a project is later emitted, resulting in no cumulative change in atmospheric carbon over time.) Select all that apply using an X.			
		Required	Recommended	Not specified
	Maintain a buffer pool of **carbon credits** to replace or compensate for credits that have been reversed			
	Monitor the **reversal risk** from credits for a specified number of years			
	Insurance mechanisms to safeguard against **reversal risk**			
	Only **carbon credits** of a certain type with lower **reversal risk** allowed (e.g. engineered			

	emissions reductions and removals) allowed			
	Reversal risk mentioned in the **policy tool**, but with no additional details			
	Other(s)			
4.37	Provide supplemental information about the remedial measures described in the **policy tool** to address reversal of credits, referencing and/or describing the relevant section/subsection/paragraph of the **policy tool** related to reversal of credits.			
4.38	In addition to the use of standards identified in Q4.29, does the **policy tool** outline criteria for the quantification of **emission reduction or removals** resulting from **carbon credit**-generating projects? (e.g. Guidance on conservativeness of the baseline, reference to specific standards or best practices to quantify emissions per project methodologies). Select the appropriate response using an X.			
	Yes			
	No, **policy tool** does not set any rules related to quantification of emissions			
4.39	If "Yes" is selected above, please describe the policy provisions pertaining to the quantification of **emissions reductions or removals** in the policy text, referencing the relevant section/sub-section/paragraph of the **policy tool**.			
4.40	In addition to the use of standards identified in Q4.29, does the **policy tool** specify criteria regarding the social integrity of **carbon credit**-generating projects? Select all that apply using an X.			
		Required	Recommended	Not specified
	Prior consultations with impacted communities			
	Free Prior and Informed Consent (FPIC) from indigenous and local communities in case of land-based projects			
	Consideration of **co-benefits** to communities from projects generating **carbon credits**			

	Project alignment with sustainable development goals			
	Policy tool mentions social integrity of **carbon credits**, but with no additional details			
	Other(s)			
4.41	Provide supplemental information about how the **policy tool** does or does not safeguard the social integrity of **carbon credits**. Please reference the relevant section/ subsection/ paragraph of the **policy tool** related to social integrity criteria for credits.			

Section 4.4.2: Carbon Credit Usage in Compliance Market and Voluntary Carbon Market

Please answer all questions in this section if you identified the goal(s) of this policy as "Carbon credit usage in compliance market and/or voluntary carbon market" in Q4.16.		
Compliance Market		
4.42	Can **carbon credits** be used by entities to **offset** their obligations on the **compliance market**? Select all that apply using an X.	
	To **offset** obligations imposed by a **carbon tax**	
	To **offset** obligations imposed by an **ETS** or cap-and-trade policy	
	Other(s)	
	Not applicable	
4.43	[If answer to Q4.42 is a, b, c] If specified in the **policy tool**, what is the maximum proportion of an entity's emissions that can be **offset** by purchasing **carbon credits**? Please describe below.	
4.44	Which types of credits are allowed/permitted to **offset** compliance costs? Select all that apply using an X.	
	Credits generated domestically only	
	Credits generated domestically or internationally	

	Credits meeting other **eligibility** criteria (Please specify below in Q4.45 and fill in responses to Section 4.3.2, if required)	
	Not specified	
4.45	[If response to Q4.44 is 4.44.3 = "Credits meeting other **eligibility** criteria"] Please specify succinctly other criteria which credits permitted to be used in **compliance markets** are required to fulfil. Please reference the relevant section/subsection/paragraph of the **policy tool** mentioning the types of **carbon credits** permitted for use. *If these criteria pertain to credit attributes such as the use of credits generated using certain methodologies or **crediting standards**, please also fill out Section 4.3.2. However, if you have already completed section 4.3.2 because this policy tool also provides guidance on the generation of credits, please contact the Hub.*	
Voluntary Carbon Market		
4.46	Does the **policy tool** set guidelines/standards/principles for entities engaged in the trading of credits in the **voluntary carbon market**? Select the appropriate response using an X.	
	No	
	Yes	
4.47	[If Q4.46 = Yes] Provide supplemental information about how the identified **policy tool** regulates entities engaged in the trading of credits in the **voluntary carbon market**, referencing and/or describing the relevant section/subsection/paragraph of the **policy tool**.	
4.48	Does the **policy tool** provide any guidance about the types of credits that can/should be used in the **voluntary carbon market**? Please specify the criteria succinctly here, referencing the relevant section/sub-section/paragraph of the **policy tool**. *If these criteria pertain to credit attributes such as the use of credits generated using certain methodologies or **crediting standards**, please also fill out Section 4.3.2. However, if you have already completed section three because this policy tool also provides guidance on the generation of credits, please contact the Hub.*	

4.49	Does the **policy tool** mention any of the following initiatives or standards of integrity and good practices for the use of credits? Select all that apply using an X.			
		Required	Recommended	Not specified
	Creates its own standards of integrity and good practices for the use of credits in voluntary market			
	Voluntary Carbon Markets Integrity (VCMI) Initiative			
	Tropical Forest Integrity Guide			
	Oxford Principles for Net Zero Carbon Alignment			
	IETA Guidelines for High Integrity Use of Carbon Markets			
	IUCN Global Standard for nature-based Solutions			
	The 7 Cancun Safeguards for REDD+			
	Science Based Targets Initiative (SBTi)			
	Other(s)			
4.50	List any other standards, frameworks or guidelines integrated into or referred to within the **policy tool**. Please provide a **web-archived link** to each standard/framework/guideline listed.			
4.51	In addition to the use of standards identified in Q4.49, does the **policy tool** recommend or require social integrity criteria that credits must fulfil to be used in the compliance or voluntary market? Select all that apply using an X.			
		Required	Recommended	Not specified
	Prior consultations with impacted communities			

	Free Prior and Informed Consent (FPIC) from indigenous and local communities in case of land-based projects			
	Consideration of **co-benefits** to communities from projects generating **carbon credits**			
	Project alignment with sustainable development goals			
	Policy tool mentions social integrity of **carbon credits**, but with no additional details			
	Other(s)			
4.52	If "Other(s)" is selected above, please describe the social integrity criteria specified in the **policy tool**, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Section 4.4.3: Operationalizing Paris Agreement Article 6 provisions as they apply to carbon credits

Please answer all questions in this section if you identified the goal(s) of this policy as "Operationalizing Paris Agreement Article 6 provisions as they apply to carbon credits" in Q4.16.		
4.53	To which **Article 6** mechanisms does the **policy tool** indicate/include compliance? Select all that apply using an X.	
	Article 6.2 (Bilateral Trading of Internationally Transferred Mitigation Outcomes (ITMOs))	
	Article 6.4 (Paris Agreement Crediting Mechanism)	
	Article 6.8 (Other Non-Market Measures)	
	Other(s)	
	Not specified	
4.54	Provide supplemental information about your response above, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

Section 4.4.4: Exchange of Carbon Credits as a Financial Instrument

Please answer all questions in this section if you identified the goal(s) of this policy as "Exchange of carbon credits as a financial instrument" in Q4.16.		
4.55	Does the **policy tool** regulate the trading or intermediation of **carbon credits** as a financial instrument? Select all that apply using an X.	
	Describes a **carbon exchange** where **carbon credits** can be bought and sold	
	Describes the legal status of **carbon credits** as a financial instrument	
	Describes what type of credits can be traded on the **carbon exchange** (compliance credits, **voluntary carbon market** credits, international trading of **carbon credits**, etc)	
	Other(s)	
	Not specified	
4.56	Provide supplemental information about how the **policy tool** regulates the trading and intermediation of **carbon credits**, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
4.57	Provide supplemental information about how the **policy tool** ensures the market integrity or exchange of credits. Please reference the relevant section/sub-section/paragraph of the **policy tool**.	
4.58	Note any additional important information about the **policy tool** not captured in the above questions. If referencing **new sources**, provide a **web-archived link** to the source material.	

Domain 5: Prudential Tools

Note: Prudential tools are those issued by central banks and/or financial regulatory authorities that set rules or guidance regarding how financial risks emerging from climate change should be identified, assessed, mitigated, and/or monitored.

Section 5.1: Who is being targeted?

5.2	With regard to **prudential rules**, which of the following entities are targeted through this **policy tool**? Identify each **targeted entity** using an X.			
		Mandatory	Voluntary	Not targeted
	Banks			
	Non-Bank Financial Companies			
	Insurance and Re-Insurance Categories			
	Asset Managers			
	Pension Funds			
	Other			
5.2.7	If “Other” please clarify.			
5.4	If necessary, please clarify any of the above answers to questions regarding the **targeted entities**. For example, specify if duties vary across the **targeted entities**.			
5.5	Describe the threshold criteria to identify entities for whom or instances in which compliance is mandatory.			
	Threshold type	Describe		
	Minimum number of employees (Enter min number of full-time employees – FTEs)			
	Minimum revenue (Enter minimum revenue)			
	Minimum assets (Enter minimum assets)			
	Minimum contract value (Enter minimum contract value)			

	Entity is headquartered in the jurisdiction	
	Other	
5.6	Can entities for whom compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an X.	
	No	
	Yes	
	Not specified	
5.7	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**.	
5.8	What are the sanctions for non-compliance? Select all that apply using an X and describe in the text field below. Select all that apply using an X.	
	Monetary fine	
	Restriction on business activities	
	Voiding or setting aside of contract	
	Exclusion from government contracts	
	Award of damages or compensation	
	Penalty for senior managers	
	Criminal penalties	
	Not specified	
	Not applicable (in the case of voluntary tools)	
	Other	
5.9	Describe the sanctions for non-compliance selected above, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

5.10	Is there evidence that this **policy tool** is being implemented? For example, if follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc. *For EU policies: Please use this question to describe whether EU Directives are being transposed into member-state jurisdictions.* Select the appropriate response using an X.	
	No known evidence of implementation	
	Yes	
5.11	Briefly explain your answer to Q5.10. If referencing **new sources,** please provide a **web-archived link** to the source material.	
5.12	Is there any evidence that the **policy tool** has ever been enforced? For example, is there any evidence of regulatory disputes, sanctions, penalties for non-compliance, etc? Select the appropriate response using an X.	
	No known evidence of enforcement	
	Yes	
5.13	Briefly explain your answer to Q5.12, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	
5.14	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool**, or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an X.	
	No known involvement in litigation	
	Yes	

5.15	Briefly explain your answer to Q5.14. If referencing **new sources,** please provide a **web-archived link** to the source material.

Section 5.2: Policy goal or function of the policy tool

Please answer all questions in this section.		
5.16	Which of the following best describes the function(s) or objective(s) of the **policy tool**? Select all that apply using an X.	
	Risk management and governance of climate risks [Please answer all questions in Section 5.2.1]	
	Stress-testing or **scenario analysis of climate risks** [Please answer all questions in Section 5.2.2]	
	Adjusted **capital and liquidity requirements for climate-related risks** [Please answer all questions in Section 5.2.3]	
	Other(s)	
5.17	[If Q5.16 = Other(s)] Please describe the objective of the **prudential policy tool**	
5.18	Which of the following phases of **climate-related risk management** process are addressed by the **prudential policy tool**? Select all that apply using an X.	
	Risk identification	
	Risk assessment	
	Risk mitigation	
	Risk monitoring	
5.19	Which types of **climate-related risks** are identified and/or addressed by the **prudential policy tool**? Select all that apply using an X.	
	Climate physical risk	
	Climate transition risk	
	ESG risks (or E&S risks)	

	Nature-related financial risks	
	Other(s) [Please specify]	
5.20	Provide supplemental information about how the **policy tool** addresses the incidence of **climate-related financial risks** on the **targeted entity**, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
5.21	Does the **policy tool** refer to any of the pillars in the **Basel regulatory framework** developed by the Basel Committee on Banking Supervision (BCBS) to guide the identification and management of **climate-related risks** by the **targeted entities**? Select all that apply using an X.	
	Pillar 1: Minimum **capital requirements** for banks	
	Pillar 2: Banking supervision review and capital add-ons	
	Pillar 3: Market discipline and **disclosure**	
	Not applicable	
5.22	[If Q5.21 = Pillar 1, Pillar 2, or Pillar 3] Describe how the **policy tool** refers to the Basel capital regulatory framework, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
5.23	Does the **policy tool** use **green, sustainable or transition taxonomies** to classify funds or assets directed towards environment-friendly or low-carbon activities and high-carbon or carbon-intensive activities? Select the appropriate response using an X.	
	Yes	
	No	
	Not specified	
5.24	[If Q5.23 = Yes] Which taxonomy is used, and how? (For example: to determine **green asset ration (GAR)**, or to set preferential terms of lending to particular sectors?) Please describe, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

5.25	How does the **policy tool** monitor or verify compliance with the obligations imposed on regulated entities? Select all that apply using an X.	
	Self-reporting by **targeted entities**	
	Third-party verification	
	Regulatory oversight by financial supervisor	
	Other(s) [Please specify]	
	Not specified	
5.26	Describe how the **policy tool** monitors or verifies compliance with the obligations imposed on regulated entities, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

Section 5.2.1: Risk management and governance

Please answer all questions in this section if you identified "Risk management and governance" as an objective of the policy tool in Q5.16				
5.27	How does the **policy tool** recommend or require the incorporation of climate-related risks into risk management and governance? Select all that apply using an X.			
		Required	Recommended	Not specified
	Setting accountability (e.g. on senior management) for the identification and management of **climate-related risks**			
	Affecting remuneration and incentives of senior management (e.g. through inclusion in milestones for executive remuneration)			
	Identifying the short-run and long-run impact of climate risks on the entity's operations and profitability			
	Improving the quality of data pertaining to the measurement and impact of **climate-related financial risks** on the **targeted entity**			

	Developing a **transition plan** to manage climate physical and/or transition risk or **ESG risks**			
	Implementing a **transition plan** to manage climate physical and/or transition risk or **ESG risks**			
	Other(s)			
5.28	If "Other(s)" is selected above, describe how the **policy tool** requires **targeted entities** to incorporate **climate-related risks** into their risk management and governance. Please reference the relevant section/sub-section/paragraph of the **policy tool**.			
5.29	Does the **policy tool** recommend or require the **disclosure** of **climate-related risk management and governance** practices? Select the appropriate response using an X.			
	Required			
	Recommended			
	Neither recommended nor required			
5.30	[If Q5.29 = Required or Recommended] Describe the rules on **disclosure** of **climate-related risk management** and governance practices along with thresholds beyond which **disclosure** requirements vary across entities (e.g. becoming mandatory for entities above a certain thresholds). Please reference the relevant section/sub-section/paragraph of the **policy tool** as well.			
5.31	Does the **policy tool** recommend or require a climate-risk assessment as part of the due diligence in its new client and transaction approval process? Select the appropriate response using an X.			
	Required			
	Recommended			
	Neither recommended nor required			
5.32	[If Q5.31 = Recommended or Required] Describe how the policy requires a climate-risk assessment as part of its due diligence process for new clients and transactions (including investments), referencing the relevant section/sub-section/paragraph of the **policy tool**.			

5.33	Does the **policy tool** recommend or require the use of metrics to assess portfolio exposures with higher climate physical or transition risk? Select all that apply using an X.			
		Required	Recommended	Not specified
	Exposure to geographical areas with higher **climate physical risk**			
	Exposure to geographical areas with higher **climate transition risk**			
	Exposure to economic sectors with higher **climate physical risk**			
	Exposure to economic sectors with higher **climate transition risk**			
	Other(s)			
5.34	Describe the use of metrics to monitor and assess portfolio exposures with higher climate physical and/or transition risk, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Section 5.2.2: Scenario analysis and stress-testing

Please answer all questions in this section if you identified "Scenario analysis and stress-testing" as an objective of the policy tool in Q5.16		
5.35	Does the **policy tool** require or recommend **stress-testing** or **scenario analyses** of **climate-related risks**? Select the appropriate response using an X.	
	Required	
	Recommended	
	Neither recommended nor required	
5.36	[If Q5.35 = Recommended or Required] Which of the following best describes the recommended or required scope of the **scenario analysis** or climate **stress-testing** exercise? Select the appropriate response using an X.	

	Conducted at the level of the individual financial entity	
	Conducted for entities above a certain specified threshold [Please specify the threshold in the next question]	
	Conducted for the whole sector (e.g. all banks, all insurance agencies, all asset management firms)	
	Conducted for the whole economy	
	None specified	
5.37	If the **scenario analysis** or **stress-testing** exercise is conducted for entities above a certain specified threshold, please describe the threshold here, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
5.38	What types of climate scenarios are used in the **prudential policy tool**? Select all that apply using an X.	
	Fully Narrative Scenarios	
	Quantified Narrative Scenarios	
	Model-Driven Scenarios	
	Probabilistic Scenarios	
	Not specified	
5.40	Which of the following most accurately describes how the scenarios or events which should be considered in the **stress-testing** or **scenario analysis** process are selected? Select all that apply using an X.	
	Chosen internally by the regulated entity premised on risk-based considerations	
	Standardised scenarios developed or recommended by the financial regulator (e.g. scenarios aligned with a country's economic structure and country-specific climate risks)	
	Based on international frameworks (e.g. **NGFS Scenarios, Basel guidelines, IPCC scenarios**).	
	Policy tool does not explicitly mention the basis of selection of scenarios	

5.41	Provide supplemental information about the process of selecting scenarios for the **stress-testing** or **scenario analysis** exercise, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
5.42	Who conducts the **scenario analysis** or **stress-testing** exercise? Select the appropriate response using an X.	
	Conducted internally by the financial institutions	
	Conducted by the supervisory authority	
	Conducted by any other entity [Please specify the entity in the next question]	
	Not specified	
5.43	[If response to Q5.42 = "Conducted by any other entity"] If the **scenario analysis** or **stress-testing** exercise is conducted by "any other entity" above, please specify which entity conducts the **scenario analysis** or **stress-testing** exercise.	
5.44	What is the frequency of the climate **stress-testing** or **scenario analysis** exercise? Select the appropriate response using an X.	
	Annually	
	Biennially	
	Ad-hoc	
	Continuous (e.g. as part of risk management processes)	
	Other(s) [Please specify in the next question]	
	Not specified	
5.45	[If Q5.44 = Other(s)] Please specify the frequency of the climate **stress-testing** or **scenario analysis** exercise, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

5.46	What is the time horizon considered for the **scenario analysis** or **stress-testing** exercise? Select the appropriate response using an X.	
	Less than one year	
	More than 1 year but less than 2 years	
	2-5 years	
	More than 5 years but less than 10 years	
	More than 10 years	
	Not specified in the **policy tool**	
5.47	Provide supplementary information about the time horizon considered in the **scenario analysis** or **stress-testing** exercise, referencing the relevant section/sub-section/paragraph of the **policy tool**. [Whereas usual **stress-testing** exercises consider shorter time periods extending up to 1 year, or at maximum 5 years, to assess the impact of adverse events on institutions' balance sheets, climate **scenario analysis** can consider time periods extending up to 30 years in the future to map the impact of the net-zero transition on banks' operations.]	
5.48	How are the results of the **stress-testing** or **scenario analysis** exercise used? Select all that apply using an X.	
	The results of these exercises inform decisions regarding capital and/or liquidity buffer requirements for the financial institutions	
	The results of these exercises inform governance and **climate-related risk management frameworks**	
	The results of these exercises serve solely as a data-gathering tool for identifying and assessing climate risks, without any further implications for the **targeted entity**	
	Other(s)	
	Not specified	
5.49	Provide supplemental information about how the results of the **stress-testing** or **scenario analysis** exercise are used. Please reference the relevant section/sub-section/paragraph of the **policy tool**.	

5.50	Does the **policy tool** recommend or require the **disclosure** of results of the stress tests and/or scenario models, along with **disclosure** of the assumptions and **dependencies** of the models? Select all that apply using an X.			
		Required	Recommended	Not specified
	Results of climate stress-tests of **scenario analyses**			
	Assumptions and **dependencies** of the **stress-testing** or modelling exercise			

Section 5.2.3: Capital and Liquidity Requirements

5.51	Does the **policy tool** recommend or require the incorporation of **climate-related risks** by the **targeted entity** into its **Internal Capital Adequacy Assessment Process (ICAAP)**? Select the appropriate response using an X.			
	Required			
	Recommended			
	Neither recommended nor required			
5.52	Describe the consideration of **climate-related risks** when assessing **capital requirements** in the identified **policy tool**, referencing the relevant section/sub-section/paragraph of the **policy tool**.			
5.53	Does the **policy tool** recommend or require differentiated **capital requirements** for lending to green or brown activities? [Green activities or projects include renewable energy projects and those directed towards low-carbon goods and services, whereas brown sectors or activities encompass lending to fossil-fuel or allied industries/activities] Select all that apply using an X.			
		Recommended	Required	Not applicable
	Reduced **capital requirements** for lending to 'green' or low-carbon sectors or activities			

	Higher **capital requirements** for lending to high-carbon or carbon-intensive sectors (e.g. fossil fuels)			
	Other(s)			
5.54	Describe how the **policy tool** sets differentiated **capital requirements** for green vs brown sectors, referencing the relevant section/sub-section/paragraph of the **policy tool**.			
5.55	Does the **policy tool** recommend or require preferential lending terms to green sectors or projects? Select the appropriate response using an X.			
	Required			
	Recommended			
	Neither recommended nor required			
5.56	[If Q5.55 = Required or Recommended] Describe how the **policy tool** recommends or requires preferential lending to green sectors, referencing the relevant section/sub-section/paragraph of the **policy tool**.			
5.57	Does the **policy tool** recommend or require the **targeted entity** to incorporate the impact of climate-related drivers on its **liquidity risk profile**? Select the appropriate response using an X.			
	Required			
	Recommended			
	Neither recommended nor required			
5.58	[If Q5.57 = Recommended or Required] How does the **policy tool** require entities to adjust their liquidity risk management in response to assessed climate risks? Describe, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

5.59	[If Q5.57 = Recommended or Required] How does the **policy tool** assess the adequacy of its liquidity buffers against **climate-related risks** (e.g. through stress tests)? Describe, referencing the relevant section/sub-section/paragraph of the **policy tool**.

Section 5.3: Standards and Frameworks

5.60	Are there any additional insights, considerations, or relevant aspects related to the **prudential policy tool** that were not covered in this survey?			
5.61	What are the key barriers or challenges in implementing the **prudential policy tool** for **climate-related financial risk** management?			
5.62	Does the **policy tool** recommend or require the use of any standards or best practices for including climate or environmental considerations in the prudential policy framework? Select all that apply using an X.			
		Recommended	Required	Not specified
	Basel Committee for Banking Supervision (BCBS) Taskforce on Climate-related Financial Risks (TCFR)			
	Network for Greening the Financial System (NGFS)			
	Financial Stability Institute (FSI), Bank for International Settlements (BIS)			
	Financial Stability Board (FSB)			
	Taskforce on Climate-related Financial **Disclosures** (TCFD)			
	Taskforce on Nature-related Financial **Disclosures** (TNFD)			

	Other(s)			
5.63	List **any other** standards, frameworks or guidelines required by or referred to within the **policy tool**. Please provide a **web-archived link** to each standard/framework/guideline listed.			

Domain 6: Methane Abatement

Note: Methane abatement policies are those addressing the reduction of methane emissions from fossil fuels and agricultural sources.

Section 6.1: Who is being targeted?

6.1	With regard to **methane abatement**, which of the following entities are targeted through this **policy tool**? Identify each **targeted entity** using an X.			
		Mandatory	Voluntary	Not targeted
	Publicly-traded entities			
	Private companies			
	Financial institutions			
	Small and medium-sized enterprises			
	State-owned companies			
	Not-for-profit organisations			
	Government agencies and/or departments (supranational)			
	Government agencies and/or departments (national)			
	Government agencies and/or departments (regional – e.g. state, province, region, metropolitan region)			
	Government agencies and/or departments (local-e.g. county, district, municipality, city)			
	Government agencies and/or departments (unspecified)			
	Sectoral actors (e.g. healthcare, utilities, education)			
	Other			
6.1.14	If “Other” please clarify.			
6.3	In cases where entities are targeted by sector, identify the primary sector to which the **policy tool** applies using an X against each sector. If entities are not targeted by sector, please leave this question blank.			

		Mandatory	Voluntary	Not applicable
	Agriculture, forestry, and fishing			
	Mining and quarrying			
	Manufacturing			
	Electricity, gas, steam, and air conditioning supply			
	Water supply; sewerage; waste management and remediation activities			
	Construction			
	Wholesale and retail trade: repair of motor vehicles and motorcycles			
	Transportation and storage			
	Accommodation and food service activities			
	Information and communication			
	Financial and insurance activities			
	Real estate activities			
	Professional, scientific and technical activities			
	Administrative and support service activities			
	Public administration and defense; compulsory social security			
	Education			
	Human health and social work activities			
	Arts, entertainment and recreation			
	Other service activities			
	Activities of households as employers; undifferentiated goods-and services-producing activities of households for own use			

	Activities of extraterritorial organizations and bodies			
	Other			
6.3.23	If “Other” selected, please explain.			
6.4	If necessary, please clarify any of the above answers to questions regarding the **targeted entities**. For example, specify if duties vary across the **targeted entities**.			
6.5	Describe the threshold criteria to identify entities for whom or instances in which compliance is mandatory.			
	Threshold type	Describe		
	Minimum number of employees (Enter min number of full-time employees – FTEs)			
	Minimum revenue (Enter minimum revenue)			
	Minimum assets (Enter minimum assets)			
	Minimum contract value (Enter minimum contract value)			
	Entity is headquartered in the jurisdiction			
	Other			
6.6	Can entities for whom compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an X.			
	No			
	Yes			
	Not specified			
6.7	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**.			

6.8	What are the sanctions for non-compliance? Select all that apply using an X and describe in the next question.	
	Monetary fine	
	Restriction on business activities	
	Voiding or setting aside of contract	
	Exclusion from government contracts	
	Award of damages or compensation	
	Penalty for senior managers	
	Criminal penalties	
	Not specified	
	Not applicable (in the case of voluntary tools)	
	Other	
6.9	Describe the sanctions for non-compliance selected above, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
6.10	Is there evidence that this **policy tool** is being implemented? For example, if follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc. *For EU policies: Please use this question to describe whether EU Directives are being transposed into member-state jurisdictions.* Select the appropriate response using an X.	
	No known evidence of implementation	
	Yes	

6.11	Briefly explain your answer to Q6.10. If referencing **new sources,** please provide a **web-archived link** to the source material.	
6.12	Is there any evidence that the **policy tool** has ever been enforced? For example, is there any evidence of regulatory disputes, sanctions, penalties for non-compliance, etc? Select the appropriate response using an X.	
	No known evidence of enforcement	
	Yes	
6.13	Briefly explain your answer to Q6.12, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	
6.14	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool** , or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an X.	
	No known involvement in litigation	
	Yes	
6.15	Briefly explain your answer to Q6.14. If referencing **new sources,** please provide a **web-archived link** to the source material.	

Section 6.2: National targets

6.16	Does the **policy tool** recommend or require a national methane **emissions reduction** target? Select the appropriate response using an X.	
	Not specified	

	Recommend	
	Require	
	Other (i.e. methane target is integrated into a broader short-lived climate pollutant target, **methane intensity** rather than methane reduction target, etc)	
6.17	If "Other" selected above, please describe the methane **emissions reduction** target, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

If "Recommended" or "Required" selected above in Q6.16, answer Q6.18-Q6.23. Otherwise, skip to Q6.24.		
6.18	What is the recommended or required level of ambition for the national methane **emissions reduction** target? Select the appropriate response using an X.	
	10-19% reduction	
	20-29% reduction	
	30-39% reduction	
	40-49% reduction	
	50-59% reduction	
	60-69% reduction	
	70-79% reduction	
	80-89% reduction	
	90-100% reduction	
	Not specified	
	Other	
6.19	If "Other" is selected above, please describe the recommended or required level of ambition for the national methane **emissions reduction** target, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

6.20	What is the recommended or required baseline year from which progress is measured? Select the appropriate response using an X.	
	1990-2000	
	2001-2005	
	2006-2010	
	2011-2015	
	2016-2020	
	Not specified	
	Other	
6.22	What is the recommended or required year by which the methane **emissions reduction** target should be met? Select the appropriate response using an X.	
6.22.1	By 2030	
6.22.2	Between 2031 and 2035	
6.22.3	Between 2036 and 2040	
6.22.4	Between 2041 and 2050	
6.22.5	Not specified	
6.22.6	Other	
6.23	If "Other" is selected above, describe the year(s) by which the methane **emissions reduction** target should be met mentioned in the policy, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

Section 6.3: Methane Pricing

6.24	Does the **policy tool** recommend or require the integration of methane emissions into pricing schemes (such as national **emissions trading schemes** and/or **carbon tax** schemes)? Select the appropriate response using an X.

	Not specified			
	Recommend			
	Require			
6.25	If recommended or required, from which of the following sources does the **policy tool** recommend or require methane emissions be integrated into pricing schemes? Select all that apply using an X.			
		Recommend	Require	Not specified
	Oil and gas			
	Coal			
	Agriculture			
	Waste			
	Other			
6.26	If any of the above "recommend" or "require," please describe, providing details such as the specific subsectors and/or activities covered by the pricing scheme and the price set for methane emissions integrated into the scheme.			
6.27	Does the **policy tool** allow for the generation of **carbon credits** from any of the following methane-abating practices (e.g. plugging of **abandoned wells**, manure management, reduced rice methane, **biochar** etc.) Select all that apply using an X.			
	Plugging of **abandoned oil and/or gas wells**			
	Manure management practices			
	Reductions in methane from rice cultivation			
	Use of feed additives			
	Biochar			
	Landfill gas capture			
	Biogas production			
If any of the above selected, please identify this as a policy tool related to carbon credits and respond to that survey supplement.				

Section 6.4: Source-specific rules

6.28	From which of the following sources and/or sectors does this **policy tool** seek to abate methane emissions? Select all that apply using an X.	
	Oil and gas	
	Coal	
	Agriculture	
If "Oil and gas" selected, please answer Section 6.4.1. **If "Coal" selected, please answer Section 6.4.2.** **If "Agriculture" selected, please answer Section 6.4.3.**		

6.4.1 Oil and Gas-Related Methane Emissions

Monitoring, Reporting, and Verification

6.29	Does the **policy tool** recommend or require approaches or methodologies for the measurement of oil and gas-related methane emissions, including **fugitive emissions**? Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	
	Other	
6.30	If "Recommend," "Require," or "Other" is selected above, please describe the approaches or methodologies for the measurement of oil and gas-related methane emissions, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

6.33	Does the **policy tool** recommend or require any of the following measures? Select all that apply using an X.			
		Recommend	Require	Not specified
	Public **disclosure** of asset and/or facility level emissions			
	Public **disclosure** of methodologies for emissions measurement			

	Third party verification of emissions inventories			
	Third party measurement of emissions (e.g. through providers such as Carbon Mapper, MethaneSAT, EMIT, etc)			
	Technologies for the monitoring and/or measurement of emissions (e.g. satellite technologies)			
	Other			
6.34	If "Other" is selected above, or if any elaboration on the above answers is required, please describe, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Fugitive Emissions and Leak Detection and Repair (LDAR)

6.35	Does the **policy tool** recommend or require the mitigation of **fugitive emissions**? Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	
6.36	Does the **policy tool** recommend or require a target for the mitigation of **fugitive emissions**? Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	
6.37	If "Recommend" or "Required" is selected above, describe the target for the mitigation of **fugitive emissions**, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

6.38	Does the **policy tool** recommend or require any of the following measures with regard to **fugitive emissions** and **LDAR**? Select all that apply using an X.			
		Recommend	Require	Not specified
	Operators undertake **instrument-based LDAR**			
	Use of certified technologies for **LDAR**			
	Independent, third-party audit/verification for **LDAR**			
	Quantification of leaks			
6.39	Does the **policy tool** prescribe a frequency for leak detection monitoring? Select the appropriate response using an X.			
	Not specified			
	Continuous			
	Monthly			
	Quarterly			
	Twice yearly			
	Yearly			
	Other			
6.40	If “Other” is selected above, describe the frequency for leak detection monitoring, referencing the relevant section/sub-section/paragraph of the **policy tool**.			
6.41	Does the **policy tool** recommend or require a time limit for repairs? Select the appropriate response using an X.			
	Not specified			
	Recommend			
	Require			
	Other			

6.43	If a time limit for repairs is recommended or required, which of the following describes the specified time limit for typical repairs (i.e. those not requiring full site shutdown)? Select the appropriate response using an X.	
	1-5 days	
	6-10 days	
	11-20 days	
	21-50 days	
	More than 51 days	
	Other	
6.44	If "Other" is selected above, describe the specified time limit for typical repairs, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

Venting and Flaring

6.45	Does the **policy tool** recommend or require the reduction of **flaring**? Select the appropriate response using an X. Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	
	Other	
6.46	If "Other" is selected above, please specify how the **policy tool** recommends or requires the reduction of **flaring**, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
6.47	Does the **policy tool** recommend or require the reduction of **venting**? Select the appropriate response using an X.	
	Not specified	
	Recommend	

	Require	
	Other	
6.48	If “Other” is selected above, please specify how the **policy tool** recommends or requires the reduction of **venting**, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
6.49	Does the **policy tool** recommend or require performance standards for **venting and/or flaring** (e.g. flaring efficiency standards, limits on volume of gas flared/vented as a percentage of gas production)? Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	
	Other	
6.50	If “Other” is chosen above, please specify how the **policy tool** recommends or requires performance standards for **venting and/or flaring**, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
6.52	Does the **policy tool** recommend or require any of the following measures related to reducing **venting and/or flaring**? Select all that apply using an X.	

		Recommend	Require	Not specified
	Prohibition of **venting**			
	Inclusion of **venting and flaring** in measurement and reporting frameworks			
	Site inspections to monitor compliance			
	Restrictions on the locations of **venting and flaring** (i.e. away from populations and/or communities)			
	Permits for **venting and/or flaring**			

	Royalties and/or fees for **venting and/or flaring**			
	Specific equipment, technologies, or operating procedures for **venting** (i.e. compressors, pneumatic controllers and pumps, dehydrators, blowdown **venting**, etc.)			
	Equipment standards			
6.53	If "Recommend" or "Require" selected for any for any of the above, please describe, referencing the relevant section/sub-section/paragraph of the **policy tool**.			

Associated Gas

6.54	Does the **policy tool** recommend or require any of the following measures with regard to the utilization of **associated gas**? Select all that apply using an X.			
		Recommend	Require	Not specified
	Sector-wide targets for **associated gas** utilization			
	A benchmark for percentage flare gas combustion efficiency			
	Pre-development gas capture plans or economic evaluations of **associated gas** utilization options for new project approval			
	Financial assurance requirements to ensure companies cover well closure costs before bankruptcy			
	Measures relating to plugging **abandoned wells**, including funding			
	Other measures relating to inactive (orphaned and/or **abandoned**) **wells**, including emissions reporting requirements			

6.55	If “Recommend” or “Recommend” is selected for any of the above, please describe, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
6.56	Does the **policy tool** recommend or require performance standards for the utilization of **associated gas** (e.g. minimum gas utilization rates)? Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	

Oil and Gas Imports

6.59	Does the **policy tool** recommend or require oil and gas any of the following measures for imported oil and/or gas? Select all that apply using an X.			
		Recommend	Require	Not specified
	Disclosure of an emissions certificate or statement			
	Targets or limits on the **flaring** intensity of imported oil and/or gas			
	Methane intensity standards			
	Carbon-related border adjustment			
6.61	If a **methane intensity standard** is recommended or required, does the **policy tool** define **methane intensity** and/or provide guidance on how it is to be calculated? If yes, please describe, referencing the relevant section/subsection/paragraph.			

6.4.2 Coal-Related Methane Emissions

6.62	Does the **policy tool** recommend or require limitations on routine **venting and flaring** associated with coal? Select the appropriate response using an X.

	Not specified	
	Recommend	
	Require	
6.63	Does the **policy tool** recommend or require a ban on routine **venting and flaring** associated with coal? Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	
6.64	If response to question above is “Recommend” or “Require”, are there any exceptions to this ban? Is yes, please describe the exceptions, referencing the relevant section-sub-section/paragraph of the **policy tool**.	
6.65	Does the **policy tool** recommend or require a standard for the capture, recovery, and use of coal mine and/or coal bed methane? Select the appropriate response using an X.	
	Not specified	
	Recommend	
	Require	
6.67	Does the **policy tool** recommend or require any of the following? Select all that apply using an X.	
	Incentives for the **reclamation and/or rehabilitation of mines**	
	The development of plans to mitigate methane emissions from abandoned coal mines	
	CMM drainage systems	
	Other	
6.68	If “Other” is selected above, describe the recommendations or requirements on **targeted entities**, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

6.4.3 Agriculture-Related Methane Emissions

6.69	Does the **policy tool** recommend or require the measurement of agriculture methane emissions? Select the appropriate response using an X.			
	Not specified			
	Recommended			
	Required			
6.70	Does the **policy tool** recommend or require third party verification or assurance of agricultural methane emissions? Select the appropriate response using an X.			
	Not specified			
	Recommended			
	Required			
6.71	Does the **policy tool** recommend or require any of the following measures related to methane emissions from agriculture? Select all that apply using an X.			
		Require	Recommend	Not specified
	The use of feed additives to mitigate **enteric methane**			
	The use of feed ratios to mitigate **enteric methane**			
	Livestock breeding to reduce livestock-related methane emissions			
	Herd reduction as a strategy for mitigating livestock-related methane emissions			
	Incentives for **pasture-based systems**			
	Biogas digesters			
	Solid-liquid separation			
	Acidification			

	Ban on or the cessation of burning **rice straw and stubble**			
	Technologies or procedures to reduce rice-related methane			
	Other			
6.72	If "Recommended" or "Required" is chosen above, does the **policy tool** offer economic subsidies and/or grants to encourage the uptake of these measures? Select all that apply using an X.			
	Not specified			
	Subsidies			
	Grants			
	Research & development funding			
	Other			
6.73	Describe the economic subsidies or grants mentioned in the **policy tool** to encourage the uptake of **methane abatement** measures. Please reference the relevant section/sub-section/paragraph of the **policy tool**.			

Section 6.5: Standards and Frameworks

6.74	Which of the following standards and/or frameworks is referenced or required?			
		Required	Recommended/ Referenced	Neither recommended nor required
	IPCC inventory methodology			
	Global Methane Pledge			
	OGMP (UNEP)			
	MiQ			
	BS: EN 15446			

	EPA Leak Detection and Repair A Best practice Guidance			
	Energy Institute - Protocol for the Estimation of Petroleum Refinery Process Plant Fugitive VOC Emissions 2010			
	ISO 15848-1:2015			
	ISO 15848-2:2015			
	ISO 14064-2:2019			
	API 2000:2014			
	ISO:28300:2008			
	EPA: Protocol for Equipment Leak Emission Estimates (1995)			
	Landfill Gas: Industry Code of Practice – The management of Landfill Gas			
	Method 21 – Determination of Volatile Organic Compound Leaks			
	ISO/TC 263 Coalbed methane			
	FAO Livestock Environmental Assessment and Performance (LEAP) Partnership			
	Global Dairy Sustainability Framework (GDSF)			
	National/subnational standard (Describe below)			
	Other ISO standard (Describe below)			
	Other (Describe below)			

6.75	List **any other** standards, frameworks or guidelines required by or referred to within the **policy tool**. Please provide a **web-archived link** to each standard/framework/guideline listed
6.76	Note any additional important information about the **policy tool** not captured in the above questions. If referencing **new sources**, provide a **web-archived link** to the source material.

Domain 7: Aviation

Note: Aviation sector policies are defined as policy tools establishing rules for the decarbonisation of the aviation sector, including through instruments such as sustainable aviation fuel (SAF) mandates, and implementation of carbon pricing (through Emissions Trading Schemes, ETSs) and offsetting schemes such as the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA).

Section 7.1: Who is being targeted?

7.17	With regard to **aviation sector policies**, which of the following entities are targeted through this **policy tool**? Identify each **targeted entity** using an X.			
		Mandatory	Voluntary	Not targeted
	Airplane operators			
	Airports			
	Airplane manufacturers			
	Aviation fuel producers			
	Aviation fuel suppliers			
	End users (passengers/cargo customers)			
	Others			
	If “Other” please clarify.			
7.18	If necessary, please clarify any of the above answers to questions regarding the **targeted entities**. For example, specify if duties vary across the **targeted entities**.			
7.6	Describe the threshold criteria to identify entities for whom or instances in which compliance is mandatory.			
	Threshold type	Describe		
	Entity is headquartered in the jurisdiction			
	Minimum emissions (Enter emissions threshold)			
	Other			

7.7	Can entities for which compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an X.	
	No	
	Yes	
	Not specified	
7.8	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**.	
7.9	What are the sanctions for non-compliance? Select all that apply using an X and describe in the next question.	
7.9.1	Monetary fine	
7.9.2	Restriction on business activities	
7.9.3	Voiding or setting aside of contract	
7.9.4	Exclusion from government contracts	
7.9.5	Award of damages or compensation	
7.9.6	Penalty for senior managers	
7.9.7	Criminal penalties	
7.9.8	Not specified	
7.9.9	Not applicable (in the case of voluntary tools)	
7.9.10	Other (e.g. sanctions for non-compliance roll forward or affect future compliance periods)	
7.10	Describe the sanctions for non-compliance selected above, referencing the relevant section/sub-section/paragraph of the **policy tool**.	

7.11	Is there evidence that this **policy tool** is being implemented? Select the appropriate response using an X. For example, if follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc.	
	No known evidence of implementation	
	Yes	
7.12	Briefly explain your answer to Q7.11. If referencing **new sources,** please provide a **web-archived link** to the source material.	
7.13	Is there any evidence that the **policy tool** has ever been enforced? Select the appropriate response using an X. For example, is there any evidence of regulatory disputes, sanctions, penalties for non-compliance, etc?	
	No known evidence of enforcement	
	Yes	
7.14	Briefly explain your answer to Q7.13, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	
7.15	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool**, or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an X.	
	No known involvement in litigation	
	Yes	
7.16	Briefly explain your answer to Q7.15. If referencing **new sources,** please provide a **web-archived link** to the source material.	

Section 7.2: Purpose/Goal of the Policy

Please answer all questions in Section 7.2. **Answers to Section 7.3 and 7.4 are conditional on your response to Q7.19 below. Please follow the instructions in Q7.19 below.**		
7.19	Which of the following best describes the goals and/or functions of the **policy tool**? Select all that apply using an X.	
	Specifying a **sustainable aviation fuel (SAF) mandate** or a **low carbon fuel standard** (Please answer all questions in Section 7.3)	
	Domestically implementing the **Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA)** (Please answer all questions in Section 7.4)	
	Including the aviation sector in domestic carbon pricing schemes (such as a **carbon tax** or an **Emissions Trading Scheme, ETS**) (Please answer all questions in Section 7.5)	
	Other	
7.20	If "Other" is selected above, please describe the goals and/or functions of the **policy tool**.	

Section 7.3: Sustainable Aviation Fuel (SAF) Mandates or Low Carbon Fuel Standards

Please answer all questions in this section if you identified the goal(s) of this policy as "Specifying a sustainable aviation fuel (SAF) mandate or a low carbon fuel standard" in Q7.19.	
7.21	What type of **SAF target** is described in the policy tool? If the policy specifies a blending target, please describe the target as X% of SAF by Y date in the white columns on the right below, mentioning incremental targets if targets are set for multiple points in time. If the policy describes any other type of target, please describe this qualitatively, referencing the relevant section/sub-section/paragraph of the policy tool.

	Target Type	Describe
	Target 1: __% of SAF by __ year *[Please do not insert numbers/text in this column. Use the white column on the right to answer]*	
	Target 2 (if applicable): __% of SAF by __ year *[Please do not insert numbers/text in this column. Use the white column on the right to answer]*	
	Target 3 (if applicable): __% of SAF by __ year *[Please do not insert numbers/text in this column. Use the white column on the right to answer]*	
	Other type of targets (e.g. GHG intensity standard for aviation fuels) *[Please do not insert numbers/text in this column. Use the white column on the right to answer]*	
7.22	Is there a sub-target contained within the broader **SAF target** or **low carbon fuel standard**? (For instance: The EU SAF mandate has a target of achieving 6% SAF by 2030, of which 0-7% should be e-SAF). Select the appropriate response using an X.	
	Yes (Please describe below)	
	No	
7.23	Please describe the **SAF target** (and sub-targets) or **low carbon fuel standards** qualitatively, referencing the relevant section/sub-section/paragraph of the policy tool.	
7.24	Is the share of SAF or low carbon fuel in aviation fuels static or subject to an increasing share over the years? Select the appropriate response using an X.	
	Yes, the share of SAF is static	
	No, the share of SAF increases over time	
7.25	If the share of SAF or low carbon fuels in aviation fuels increases over time, please describe here, referencing the relevant section/sub-section/paragraph of the policy tool.	

7.26	Which category or subset of airplane operators are targeted by the **SAF mandate** or **low carbon fuel standard**? Select the appropriate response using an X.		
		Mandatory	Voluntary
	All flights		
	All international flights		
	All domestic flights		
	Only certain flights (for instance: operating between a pair of origin-destination airports; EEA flights)		
7.27	If the SAF mandate or low carbon fuel standard only targets a particular subset of flights, please describe below, referencing the relevant section/sub-section/paragraph of the policy tool.		
7.28	Does the policy specify which types of sustainable fuels are eligible to be deemed as **SAF** or **low carbon fuels**? Please select all options that apply using an X.		
		Allowed/ Encouraged	Excluded/ Discouraged	Not specified
	Crop-based [**1st generation SAF**]			
	Fuels produced from **waste-based Hydroprocessed Esters and Fatty Acids (HEFA)**			
	Produced from **biofuels** [**2nd generation SAF**]			
	Synthetic fuels/e-fuels (e.g. **e-kerosene**, fuels generated using green hydrogen) [**3rd generation SAF**]			
	CORSIA eligible fuel (Refers to a **CORSIA sustainable aviation fuel** or a **CORSIA lower carbon aviation fuel**, which an aeroplane operator may use to reduce its offsetting requirements)			

	Fuels meeting a certain percentage reduction in GHG emissions or **emissions intensity** (For example: The UK's SAF mandate defines SAF as fuel that produces an average of 70% less carbon emissions than traditional fossil-based aviation fuel)			
	Other			
7.29	Please describe the types of aviation fuels deemed eligible to be classified as **SAF** or **low carbon fuels**, or ones that are expressly prohibited from being classified as **SAF** or **low carbon**, referencing the relevant section/sub-section/paragraph of the policy tool.			
7.30	Does the **SAF mandate** or **low carbon fuel standard** reward fuels with lower **lifecycle emissions intensity** (e.g. through greater crediting, higher certificate value, or differentiated compliance treatment)? Select the appropriate response using an X.			
	Yes			
	No			
	Not specified in the policy tool			
7.31	Please specify the mechanism through which aviation fuels with a lower carbon intensity are rewarded under the policy tool, referencing the relevant section/sub-section/paragraph of the policy tool.			
7.32	Is the production or roll-out of **SAF** linked to the achievement of meeting targets outlined under **CORSIA**? Select the appropriate response using an X.			
	Yes			
	No			
	Not specified in the policy tool			
7.33	Does the policy tool provide financial incentives (e.g. revenue certainty mechanisms, subsides etc) for scaling up the supply of **SAF**? Select the appropriate response using an X.			
	Yes			
	No			

	Not specified in the policy tool	
7.34	If response to the above question is 'Yes', please describe the incentive mechanisms specified in the policy tool for scaling up supply of **SAF**, referencing the relevant section/sub-section/paragraph of the policy tool.	

Section 7.4: CORSIA Implementation

Please answer all questions in this section if you identified the goal(s) of this policy as "Domestically implementing the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA)" in Q7.19.			
7.35	Does the policy tool permit airplane operators to use carbon credits to **offset** their own emissions? Select the appropriate response using an X.		
	Yes		
	No		
	Not specified in the policy tool		
7.36	Is the country a signatory to the **Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA)** agreement under the International Civil Aviation Organisation (ICAO)? Select the appropriate response using an X.		
	Mandatory participation by airplane operators in **CORSIA**		
	Voluntary participation by airplane operators in **CORSIA**		
	Not a signatory to the **CORSIA** agreement		
7.37	Which category or subset of aeroplane operators are targeted by the policy tool? (For instance: **CORSIA** only applies to flights taking off and landing between two **CORSIA** signatories). Select the appropriate response using an X.		
		Mandatory	Voluntary
	All flights		
	All international flights		
	All domestic flights		
	Only certain flights (for instance: operating between a pair of origin-destination airports; EEA flights)		

7.38	If the policy tool only targets a particular subset of flights, please describe below, referencing the relevant section/sub-section/paragraph of the policy tool.	
7.39	Does the policy tool recommend or require the monitoring, reporting and verification (MRV) of airline emissions? Select the appropriate response using an X.	
	Required/Explicitly addressed	
	Not specified	
7.40	Who is entrusted with overseeing the monitoring, reporting and verification (MRV) of airline emissions mandated to be disclosed under **CORSIA** provisions? Please specify, referencing the relevant section/sub-section/paragraph of the policy tool.	
7.41	Does the policy tool specify which types of credits are eligible for use by airlines to offset their emissions? Select all that apply using an X.	
	CORSIA eligible emissions units (EEUs)	
	Credits certified under **Article 6 of the Paris Agreement**	
	Other types of credits	
	Not specified in the policy tool	
7.42	Please describe the types of **carbon credits** eligible for use by airlines to **offset** their emissions, referencing the relevant section/sub-section/paragraph of the policy tool.	
7.43	Does the policy tool specify any criteria for third-party verification or certification of **carbon credits** permitted to be used for **offsetting** emissions by airlines? Select the appropriate response using an X.	
	Required	
	Recommended	
	Neither recommended nor required	
7.44	If the answer to the question above is 'Recommended' or 'Required', please specify the criteria outlined in the policy tool for third-party verification of carbon credits. Please reference the relevant section/sub-section/paragraph of the policy tool.	

7.45	Are there penalties for non-compliance with achieving emissions reduction targets through carbon **offsetting**? Select the appropriate response using an X.	
	Yes	
	No	
7.46	Please describe the penalties for non-compliance associated with achieving emissions reduction targets through carbon **offsetting**, referencing the relevant section/sub-section/paragraph of the policy tool.	

Section 7.5: Aviation-Sector Carbon Pricing

Please answer all questions in this section if you identified the goal(s) of this policy as "Including the aviation sector in domestic carbon pricing schemes (such as a carbon tax or an Emissions Trading Scheme, ETS)" in Q7.19.		
7.47	Is the aviation sector included in the country's **Emissions Trading Scheme (ETS)** or **carbon tax**? Select the appropriate response using an X.	
	Yes	
	No	
	Not specified in the policy tool	
7.48	Please specify if only a particular subset of the aviation sector (international aviation or domestic aviation) is subject to domestic carbon pricing under an **Emissions Trading Scheme (ETS)** or **carbon tax**, referencing the relevant section/sub-section/paragraph of the policy tool.	
7.49	What is the requirement or tax rate under the **ETS** or **carbon tax** regime? Please specify, referencing the relevant section/sub-section/paragraph of the policy tool.	

Domain 8: Buildings

Note: Building policy tools are defined as policy tools addressing:

1) the reduction of **operational emissions** from buildings;

2) the reduction of **embodied emissions** from buildings, and;

3) the **disclosure and/or reduction of climate risk** in buildings, related to fire, flood, wind, and heat.

These can include building performance standards, embodied carbon provisions in building codes, building risk disclosure rules, or passive cooling building standards. Note that the focus of this domain is national-level policies only (except for in the case of the State of California).

Section 8.1: Who is being targeted?

8.17	Which of the following building categories are targeted and/or exempted from this policy tool? Identify each category using an X.				
		Mandatory	Voluntary	Exempt	Unspecified
	Commercial buildings (retail, office, leisure)				
	Residential buildings, single family				
	Residential buildings, multi-family (e.g. apartments/flats)				
	Industrial facilities (manufacturing, warehouse, etc)				
	Educational facilities				
	Institutional facilities (e.g. hospitals, assisted livings, correctional facilities)				
	Assembly facilities (e.g. theatres, restaurants, libraries, places of worship, stadiums)				
8.18	If needed, clarify any of the above responses to Q8.17.				
8.5	Describe the threshold criteria to identify instances in which compliance is mandatory. If not applicable, leave blank.				
	Threshold Type	**Describe**			
	Emissions intensity				
	Energy use intensity				
	Floor area, gross (e.g. square footage, metres)				

	Floor area, net (e.g. square footage, metres)	
	Minimum contract value (Enter minimum contract value)	
	Use class (e.g. residential, commercial)	
	New buildings	
	Renovation, additions, alterations, and/or repairs (e.g. renovations comprising 25%-50% of building envelope)	
	Ownership change or change of occupancy	
	Other	
8.7	Can entities for whom compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an 'X.'	
	No	
	Yes	
	Not specified	
8.8	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**.	
8.9	What are the sanctions for non-compliance? Select all that apply using an 'X' and describe in the next question.	
	Monetary fine	
	Restriction on business activities	
	Voiding or setting aside of contract	
	Exclusion from government contracts	
	Award of damages or compensation	

	Penalty for senior managers	
	Criminal penalties	
	Not specified	
	Not applicable (in the case of voluntary tools)	
	Other	
8.10	Please describe the provisions within the policy pertaining to the types of sanctions for non-compliance selected above.	
8.11	In the case of voluntary rules, is there evidence that this **policy tool** is being implemented? For example, follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc. Select the appropriate response using an 'X.'	
	No known evidence of implementation	
	Yes	
8.12	Briefly explain your answer to Q8.11. If referencing **new sources,** please provide a **web-archived link** to the source material.	
8.13	If the case of mandatory rules, is there any evidence that the **policy tool** has ever been enforced? For example, evidence of regulatory disputes, sanctions, penalties for non-compliance. Select the appropriate response using an 'X.'	
	No known evidence of enforcement	
	Yes	
8.14	Briefly explain your answer to Q8.13, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	

8.15	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool**, or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an 'X.'	
	No known involvement in litigation	
	Yes	
8.16	Briefly explain your answer to Q8.15. If referencing **new sources,** please provide a **web-archived link** to the source material.	

8.19	Which of the following best characterises the policy tool? Select the appropriate response using an 'X.'	
	Building code	
	Standard	
	Zoning rule or policy	
	Sectoral roadmap, plan, or target	
	Financial incentive or subsidy (e.g. tax credit, grant or rebate, etc.)	
	Informational tool (e.g. disclosure rule, energy labelling/performance scheme)	
	Guidance or capacity building (e.g. design guidelines, training programmes, etc)	
	Other	
8.20	If "Building code" or "Standard" was selected in Q8.19, what year was the building code or standard last updated?	

Section 8.2 Reducing Operational Emissions

8.21	Does the policy tool recommend or require the use of a **building energy/environmental labelling** programme, such as an Energy Performance Certificate (EPC) or other rating scheme? If so, please indicate the conditions under which the scheme is used to incentivise or reduce **operational building emissions**.		
		Recommend	Require
	Energy/environmental labelling required for new buildings		
	Energy/environmental labelling required for sale		
	Minimum **energy/environmental label** rating required for rental and/or leasing		
	Energy/environmental labelling required for, or in the context of, renovation		
	Energy/environmental labelling required for existing buildings		
8.22	Does the policy tool recommend or require targets for the reduction of **operational building emissions** and/or energy usage? Select the appropriate response(s) using an 'X.' If not specified in policy tool, leave blank.		
		Recommend	Require
	New buildings		
	Existing buildings		
	Other		
8.23	If "Other," please describe.		

If "Recommend," "Require," or "Other" selected above in Q8.22, answer Q8.24-Q8.27. Otherwise, skip to Q8.28.						
8.24	Which of the following best describes the level of ambition for the target to reduce **operational building emissions** and/or energy usage? Select the appropriate response using an 'X.'					
		0-30%	31-60%	61-90%	91-100+%	Other
	New buildings					
	Existing buildings					
8.25	If "Other," please describe.					
8.26	Describe the baseline year from which progress is measured. If baseline year is not specified, please leave blank.					
8.27	Does the policy tool recommend or require a year by which the target for reducing **operational building emissions** and/or energy usage should be met? Select the appropriate response using an 'X.'					
		By 2030	Between 2031 and 2040	Between 2040 and 2050	Not specified	
	New buildings					
	Existing buildings					
8.28	Does the policy tool recommend or require any of the following **building performance standards**? Select the appropriate response using an X.					
		Recommend	Require			
	Final Energy Use Intensity (kWh/m²·yr)					

	Primary Energy Use Intensity (kWh/m²·yr)		
	Operational Carbon Emissions Intensity ($kgCO_2/m^2$·year)		
	Space heating demand (kWh/m²·yr)		
	Cooling demand (kWh/m²·yr)		
	Peak heating/cooling load (W/m²)		
	Percentage energy from renewables		
	Percentage improvement versus baseline (e.g. 10% reduction in EUI by 2030)		
	Other		
8.29	Does the policy tool recommend or require benchmarks for heat transfer/insulation for roofs, walls, and/or floors? Select the appropriate response using an X.		
	Recommend		
	Require		
	Not specified		
8.30	If the policy tool recommends or requires benchmarks for heat transfer/insulation of roofs, walls, floors, and/or windows, please describe the benchmark below, including the metric used (i.e. U-value, R-value, OTTV, etc) and its value. If a range is given (e.g. 0.8-1.4 W/m²K), please provide the range. If benchmark values not specified, leave blank.		
		Recommend	Require
	Roof		
	Walls		
	Floors		
	Windows		

8.31	Does the policy recommend/encourage or require any of the following measures to electrify buildings? Select the appropriate response using an 'X.'		
		Recommend	Require
	Phase out of fossil gas heating (e.g. gas/oil boilers ban)		
	Restrictions on new fossil fuel connections		
	Installation of electric heating and/or cooling systems (e.g. heat pumps)		
	Installation of on-site renewable energy generation (solar PV panels, wind turbines, etc)		
	Installation of on-site renewable energy water heating (e.g. solar water heaters)		
	Installation of on-site battery storage		
	Infrastructure readiness (e.g. installation of pipework, wiring, etc. to provide for future electric heating, cooling, and/or storage)		
	Other		
8.32	If any of the any of the above building electrification measures are recommended or required, please describe.		
8.33	Does the policy recommend or require **building energy management systems**? Select the appropriate response using an X.		
	Recommend		
	Require		
	Not specified		
8.34	If **building energy management systems** recommended or required, please describe.		

8.35	Does the policy tool recommend or require the use of any of the following 'smart' solutions? Select the appropriate response using an 'X.'			
		Recommend	Require	Not specified
	Electric vehicle charging			
	Onsite energy storage			
	Smart metering			
	Demand response devices			
	Communication protocols			
	Other			
	None specified			

Section 8.3 Reducing Embodied and Whole Life Emissions

8.36	Does the policy tool recommend or require the disclosure of a building's **embedded or embodied emissions** (e.g. whole life carbon/life cycle emissions, Global Warming Potential, environmental product disclosure)? Select the appropriate response using an 'X.'	
	Recommend	
	Require	
	Not specified	
If "recommend" or "require" selected in Q8.36, please answer Q8.37-Q8.43. Otherwise, please skip to Q8.44.		
8.37	Please describe the duty to calculate **embedded or embodied emissions**, including the conditions under which the duty applies.	
8.38	Does the policy tool recommend or require a national target for the reduction of **embedded or embodied emissions** in buildings? Select the appropriate response(s) using an 'X.' If not specified in policy tool, leave blank.	
	Recommend	

	Require		
	Other		
8.39	If “Other,” please describe.		
8.40	Which of the following best describes the level of ambition for the target to reduce **embedded or embodied emissions?** Select the appropriate response using an X.		
		Recommend	Require
	0-30%		
	31-60%		
	61-90%		
	91-100+%		
	Other		
8.41	If “Other,” please describe.		
8.42	Describe the baseline year from which progress is measured. If baseline year not specified, leave blank.		
8.43	Does the policy tool recommend or require a year by which the target should be met? Select the appropriate response using an ‘X.’		
	By 2030		
	Between 2031 and 2040		
	Between 2040 and 2050		
	Not specified		
8.44	Does the policy tool recommend or require a set limit value on **embedded or embodied emissions** from buildings? Select the appropriate response using an X.		

	Require	
	Recommend	
	Not specified	
8.45	If the policy tool recommends or requires a set limit value on **embedded or embodied emissions** from buildings, please describe.	

8.46	Which of the following measures does the policy tool recommend or require to reduce **embedded or embodied emissions**? Select the appropriate response using an X.		
		Require	Recommend
	Reducing **Scope 1 emissions** from construction (e.g. through electric machinery, use of on-site assets, etc)		
	Construction waste reduction targets		
	Use of low carbon cement		
	Use of low carbon steel		
	Use of other low carbon materials (insulation, flat glass, etc)		
	Use of **bio/geo-sourced** materials		
	Prioritisation on re-purposing, retrofitting, and/or renovation of existing buildings		
	Prioritisation on **de-construction practices**, including through **design-for-disassembly**		
	Material re-use quotas or targets		
	Waste recycling and/or recovery rates		
	Other		

8.47	If any of the above selected, please describe.

Section 8.4 Disclosing and/or Reducing Risk

8.48	Does the policy tool recommend or require disclosure or reporting about any of the following building risks? Select the appropriate response using an X. If building risk disclosure neither recommended nor required, leave blank.		
		Recommend	Require
	Heatwaves, heat stress		
	Cold waves, frost		
	Permafrost thaw		
	Storms and extreme winds (cyclone, hurricane, tornado, blizzard)		
	Heavy precipitation (rain, hail, snow/ice)		
	Flooding (**fluvial**, **pluvial**, ground water, glacial lake outburst)		
	Wildfire		
	Drought and water stress		
	Coastal flooding, sea-level rise, coastal erosion		
	Avalanche, landslide, subsidence		
	Other		
8.49	If “Other” please describe.		

8.50	Does the policy tool recommend or require mitigating any of the following building risks? Select the appropriate response using an X. If building risk mitigation is neither recommended nor required, leave blank.		
		Recommend	Require
	Heatwaves, heat stress		
	Cold waves, frost		
	Permafrost thaw		
	Storms and extreme winds (cyclone, hurricane, tornado, blizzard)		
	Heavy precipitation (rain, hail, snow/ice)		
	Flooding (**fluvial**, **pluvial**, ground water, glacial lake outburst)		
	Wildfire		
	Drought and water stress		
	Coastal flooding, sea-level rise, coastal erosion		
	Avalanche, landslide, subsidence		
	Other		
8.51	If “Other” please describe.		
8.52	Does the policy tool recommend or require **passive cooling measures**? Select the appropriate response using an X.		
	Recommend		

	Require	
	Other	
	Not specified	
8.53	If “Other” please describe.	

8.54	Are there any additional insights, considerations, or relevant aspects related to the buildings **policy tool** that were not covered in this survey?

Section 8.5 Standards and Methodologies

8.55	Does the **policy tool** recommend or require the use of any standards or best practices for including climate or environmental considerations in the prudential policy framework? Select all that apply using an ‘X.’			
		Recommended	Required	Not specified
	LEED			
	BREEAM			
	EN15978- Assessment of Environmental Performance of Buildings			
	ISO 14040/14044- Life Cycle Assessments			
	ISO 21930- Sustainability in Buildings			
	ISO 52000 series- Energy performance of buildings			

	ASHRAE 90.1- Energy Standard for Buildings			
	ISO50001- Energy management systems			
	World Building Council Net Zero Carbon Framework			
	Passivhaus			
	Other(s)			
8.56	List **any other** standards, frameworks or guidelines required by or referred to within the **policy tool**. Please provide a **web-archived link** to each standard/framework/guideline listed.			

Domain 9: Trade Measures

Note: In 2026, the scope of the trade measures domain is limited to import restrictions. Specifically, the scope covers **tariffs** and **non-tariff restrictions on four climate tech sectors** — electric vehicles (EVs), solar energy, wind energy, and batteries (including direct upstream components) — as well as **border carbon adjustments**, which are not limited to these climate tech categories. **Export restrictions are not covered** in this edition of the Monitor but may be added in future years.

Domain 9: Trade Measures

Section 9.1: Who is being targeted?

Please answer all questions in this section.

9.6	**Threshold**: Describe the threshold criteria to identify instances when the regulation applies.	
	Threshold type	Describe
9.6.7	No threshold (select using an X)	
9.6.8	**De minimis import value** (enter value and currency)	
9.6.9	Import volume or quantity threshold (enter quantity/unit)	
9.6.10	Other	
9.7	Can entities for whom compliance with the **policy tool** is mandatory opt out of the obligation (e.g. comply or explain)? Select the appropriate response using an X. (For instance: Are there mechanisms through which covered imports can be exempted from or receive a reduction in the obligation?) Select the appropriate response using an X.	
	No	
	Yes	
	Not specified	
9.8	If yes, describe the available opt-out provisions, referencing the relevant section/ subsection/ paragraph of the **policy tool**. (For instance: Describe the available exemption or reduction mechanisms, referencing the relevant section/subsection/paragraph of the policy tool.)	
9.9	What are the sanctions for non-compliance? Select all that apply using an X and describe in the next question.	

9.9.1	Monetary fine	
9.9.7	Criminal penalties	
9.9.12	Seizure, detention, or confiscation of goods	
9.9.13	Denial of entry or forced re-export	
9.9.14	Revocation or suspension of import licence/authorization	
9.9.15	**Retroactive duty assessment** or additional surcharges	
9.9.8	Not specified	
9.9.10	Other	
9.10	Describe the sanctions for non-compliance selected above, referencing the relevant section/sub-section/paragraph of the **policy tool**.	
9.11	**Implementation**: Is there evidence that this **policy tool** is being implemented? Select the appropriate response using an X. For example, if follow up regulations are being developed, initiatives are being launched, funding is being allocated, etc. *For EU policies: Please use this question to describe whether EU Directives are being transposed into member-state jurisdictions.*	
	No known evidence of implementation	
	Yes	
9.12	Briefly explain your answer to Q9.11. If referencing **new sources,** please provide a **web-archived link** to the source material.	
9.13	Is there any evidence that the **policy tool** has ever been enforced? For example, is there any evidence of regulatory disputes, sanctions, penalties for non-compliance, etc? Select the appropriate response using an X.	

	No known evidence of enforcement	
	Yes	
9.14	Briefly explain your answer to Q9.13, noting one to two exemplary cases of enforcement if relevant. If referencing **new sources,** please provide a **web-archived link** to the source material.	
9.15	To your knowledge, has this **policy tool** ever been involved in litigation? This could include direct challenges to the **policy tool**, or its inclusion in cases where it is being cited as a basis for challenging other regulations. Select the appropriate response using an X.	
	No known involvement in litigation	
	Yes	
9.16	Briefly explain your answer to Q9.15. If referencing **new sources,** please provide a **web-archived link** to the source material.	

Section 9.2: Purpose/Goal of the Policy

Please answer all questions in this section.		
9.17	**Regulation type:** Which of the following categories does the import restriction affect? Select all that apply using an X. If you selected several categories and the legal design differs materially across these categories (for example, different rates, conditions, exemptions, or country targeting), complete separate surveys for each category, effectively treating them as separate policy tools.	
	EVs Including finished **BEVs**, **PHEVs**, and immediate "pre-assembly" **upstream components** like electric motors, drivetrains or e-axles, inverters, onboard chargers, vehicle control units, but NOT inputs further upstream from the final	

	product (e.g. raw materials, finished metals, etc.)	
	Photovoltaic solar cells Including finished solar goods and immediate "pre-assembly" **upstream components** like solar panels or modules, solar cells, wafers, inverters, mounting-system components, but NOT inputs further upstream from the final product or other solar energy sources like concentrated solar power	
	Wind turbines and related products Including finished wind turbines and immediate "pre-assembly" **upstream components** like blades, towers, **nacelles**, generators, gearboxes, but NOT inputs further upstream from the final product (e.g. raw materials, finished metals, etc.)	
	Batteries Including finished rechargeable batteries used in EVs and stationary energy storage, and immediate "pre-assembly" **upstream components** like cells, cathodes, anodes, separators, electrolytes, battery management systems, but NOT consumer portable batteries (e.g. AA) and inputs further upstream from the final	
	Border carbon adjustment (BCA) mechanism Including any sector, not limited to climate tech categories	
9.18	Please describe the primary purpose of the regulation.	
9.19	**Target countries:** Do the import measures apply to specified countries, exempt specified countries, or otherwise differentiate between countries? Select all that apply using an X.	
	Applies to specific countries	
	Specific countries exempt	

	Other country-specific differentiated treatment	
	No country-specific differentiation identified	
9.20	Please describe and list all countries that are affected, exempt, or otherwise referenced.	
9.21	Does the **policy tool** refer to **Harmonised System (HS) codes** to specify which products are targeted?	
	Yes	
	Not specified in policy tool	
9.22	If you replied 'Yes' to Q9.21, please specify the **HS codes** mentioned in the policy text, referencing the relevant section/sub-section/paragraph of the policy tool.	
9.23	**Trade agreement**: Is the regulation part of a trade agreement? Select all that apply using an X.	
	Yes	
	Not part of a trade agreement	
9.24	If yes, please note the name of the trade agreement.	
9.25	**Legal basis**: Identify the nature of the legal authority or statute under which the regulation is imposed (without assuming or ascribing the government's underlying rationale or motivation). Select all that apply using an X. (Regulations and policies such as the US Inflation Reduction Act, the EU Net-Zero Industry Act, and Japan's Economic Security Promotion Act are likely to tick several boxes.)	
	Ordinary tariff, customs, or general trade	
	Trade remedy (**anti-dumping**, **countervailing duty**, or **safeguard**)	
	National security or defense (e.g., US Section 232 of the Trade Expansion Act of 1962)	

	Economic security (e.g., Japan's Economic Security Promotion Act)	
	Climate, environmental, or carbon-pricing	
	Industrial policy or strategic-sector promotion	
	Other [specify]	
	Not specified or unclear	
9.26	Please name the specific statute, regulation, or legal authority invoked, referencing the relevant section/sub-section/paragraph of the policy tool. Where the legal text or accompanying official explanation explicitly states a rationale tied to one of the categories above (e.g., national security, economic security, climate, industrial policy), quote the relevant language.	
9.27	**Direction of measure**: Is the regulation strengthening or relaxing an import restriction? Select all that apply using an X.	
	Liberalizing (import restriction is relaxed)	
	Restrictive (import restriction strengthened)	
	Mixed or both	
	Not clear	
9.28	Please describe how the regulation is likely to affect imports.	
9.29	Revenue: Does the regulation generate public revenue? Select the appropriate response using an X.	
	No (e.g., if the tariff is reduced rather than increased, or if the border carbon adjustment is reporting-only or not yet financially operative)	
	Yes	
9.30	If response to Q9.29 = Yes, does the regulation specify how the revenue generated by the tariff/border carbon adjustment is used? Select the appropriate response using an X.	

	Yes, allocated to a specified purpose [describe, including whether climate-related]	
	Yes, paid into the general budget or treasury	
	No, revenue use not specified	

Section 9.3: Climate tech-related import restrictions

Please answer all questions in this section if your response to Q9.17 = "EVs", "Photovoltaic solar cells", "Wind turbines and related products", or "Batteries".		
9.31	**Tariff**: Does the regulation include a tariff or other tariff-like price-based measure? Select the appropriate response using an X.	
	No tariff or tariff-like measure	
	Yes	
9.32	**If yes was selected above:** How is the tariff rate calculated and in which direction is it affected? Select the appropriate response using an X.	
	Percentage (**ad valorem**)	
	Specific (fixed amount per unit)	
	Mixed or compound	
	Other	
9.33	Briefly describe the tariff measure, referencing the relevant section/sub-section/paragraph of the policy tool. **If "percentage (ad valorem)" selected above**: enter the rate as the change in percentage points relative to the ordinary applied tariff rate otherwise applicable to the covered import (i.e., the tariff that would apply absent this measure); use "–" for liberalizing tariff rate reductions and "+" for discriminatory tariff rate increases **If "specific (fixed amount per unit)" selected above**: In open text below, note the amount in US $ or local currency; use "+" for additional charges and "-" for a reduction in usually applied charges **If "mixed or compound" or "other" selected above**: describe	

9.34	**Non-tariff market access conditions**: Does the regulation include non-tariff access restrictions? Select the appropriate response using an X.	
	No non-tariff market access conditions	
	Yes	
9.35	**If yes was selected above:** Select or specify the type of non-tariff barrier below. Select all that apply using an X.	
	Local content or origin requirements	
	Technical regulations or performance requirements	
	Certification, testing, labelling, or conformity assessment requirements	
	Import licensing, registration, or prior authorization requirements	
	Quantitative restrictions, quotas, or import bans	
	Other	
9.36	Briefly describe the selected **non-tariff market access restrictions**, specifying the relevant section/sub-section/paragraph of the policy tool.	

Section 9.4: Border carbon adjustment (BCA) Mechanism

Please answer all questions in this section if your response to Q9.17 was "Border carbon adjustment (BCA) mechanism".		
9.37	**Coverage**: Which of the following sectors are covered by the **BCA**? Select all that apply using an X.	
	Agriculture, forestry, and fishing	

	Mining and quarrying	
	Manufacturing	
	Electricity, gas, steam, and air conditioning supply	
	Water supply; sewerage; waste management and remediation activities	
	Construction	
	Wholesale and retail trade: repair of motor vehicles and motorcycles	
	Transportation and storage	
	Accommodation and food service activities	
	Information and communication	
	Financial and insurance activities	
	Real estate activities	
	Professional, scientific and technical activities	
	Administrative and support service activities	
	Public administration and defense; compulsory social security	
	Education	
	Human health and social work activities	
	Arts, entertainment and recreation	
	Other service activities	
	Activities of households as employers; undifferentiated goods-and-services-producing activities of households for own use	
	Activities of extraterritorial organizations and bodies	
	Other	
9.38	If “Other” selected, please explain	

9.39	**Type of BCA**: Which of the following describes how the border carbon adjustment is designed? Select all that apply using an X.	
	Charge linked to a domestic carbon price or ETS allowance price	
	Charge based on the **embedded emissions** of imported goods	
	Requirement to purchase, hold, or surrender **certificates/allowances/equivalent units**	
	Fixed carbon-related import levy or surcharge	
	Mixed or hybrid	
	Other	
9.40	Briefly describe the BCA's design, specifying the relevant section/sub-section/paragraph of the policy tool.	
9.41	**Emissions basis:** How is the adjustment quantified? Select all that apply using an X.	
	Actual reported emissions of the imported goods	
	Default, benchmark, or deemed emissions values	
	Either actual reported emissions or default/benchmark values	
	Not specified	
	Other	
9.42	Briefly describe the emissions basis, specifying the relevant section/sub-section/paragraph of the policy tool.	

9.43	**Foreign carbon price or regulation recognition:** Does the **BCA** allow a deduction, credit, or adjustment for a carbon price, an equivalent charge, or an equivalent regulation in the country of origin?	
	Yes	
	No recognition specified	
9.44	If yes, briefly describe the foreign carbon price or regulation recognition, specifying the relevant section/sub-section/paragraph of the policy tool.	
9.45	**Conditionality:** Are there any materially important exemptions, triggers, or other conditions not captured above?	
	Yes	
	No materially important additional conditionality identified	
9.46	If response to Q9.45 = Yes, briefly describe the conditions, specifying the relevant section/sub-section/paragraph of the policy tool.	
9.47	Note any additional important information about the **policy tool** not captured in the above questions. If referencing **new sources**, provide a **web-archived link** to the source material.	